15 STORIES OF ACHIEVEMENT

THE UNIVERSITY OF CONNECTICUT FOUNDATION, INC. | 2006 ANNUAL REPORT
Our MISSION

The mission of The University of Connecticut Foundation, Inc. is to solicit, receive and administer gifts and financial resources from private sources for the benefit of all campuses and programs of the University of Connecticut. The Foundation operates exclusively to promote educational, scientific, cultural and recreational objectives of the University of Connecticut. As the primary fundraising vehicle to solicit and administer private gifts and grants that will enhance the University’s mission, the Foundation supports the University’s pursuit of excellence in teaching, research and public service.

Accelerating Potential

The pursuit of achievement is constantly unfolding at the University of Connecticut, where the experience of the past decade has revealed much about the value our state places on higher education.

Ten years ago, UConn was blessed by a series of fortunate developments that changed the institution’s course in clear and meaningful ways. A new president, an unprecedented influx of public support through UCONN 2000, and the success of men’s and women’s athletics on the national stage were the catalysts. Slowly, after years of living in the shadows, a new University began to take shape.

Among the first to notice was the next generation of faculty, noted educators and researchers drawn by the promise of exceptional new facilities and a renewed spirit born of fresh thinking and boundless opportunities for inquiry.

In growing numbers the students also arrived – increasingly gifted and motivated – who now looked upon UConn as a first and vibrant option for the best university education in the arts, humanities, science, business, law, medicine and more.

This convergence of human potential formed a critical mass, until almost overnight the University of Connecticut emerged in national higher education circles as a truly outstanding public research university.

Which brings us to the present. Today, UConn is once again on the doorstep of a major leap forward.

We have a compelling story to tell about the quest for knowledge, discovery and the highest ideals of public service; and it is embodied in the hopes and dreams of our faculty and students.

Through it all the role of the University of Connecticut Foundation remains to promote the loftiest goals of those who come on our campuses to study and to teach.

By no means exhaustive, the profiles in the following pages provide a deeper insight into the ways individual lives have been shaped by the inspired generosity of our supporters. They are a statement of how far the University has come through private support, but also an indication how much further and higher our aspirations can take us.

To those who have already helped on this journey, we can never say “thank you” enough. And for those whose commitments to our purpose lie yet in the future, we welcome you to become partners with us in a truly outstanding institution…one whose greatest achievements are still to come.

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Chairman, Board of Directors
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John K. Martin
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The 125th anniversary of the University of Connecticut brought with it some wonderful recollections of an inspiring past, recognition of current challenges and awareness of an even more promising future. It also reminded us that to an ever-greater extent, private giving is a key instrument to enhance the University’s core missions of research, education and public service.

These thousands of individual gifts are leveraged into something much greater than the already-impressive sum of their parts. Most immediately, they have enabled the wonderful stories you will read in this Annual Report. These individuals, and thousands more like them, ultimately have an impact beyond the borders of our own University. They often help UConn contribute mightily to a better quality of life for the people of our state, our nation and our world.

Through private giving, promising and deserving students are able to attend UConn, where they learn, grow and add to the quality of our academic community. It is clear that our student body as a whole is richer, livelier and more diverse because of the presence of these outstanding young men and women.

The entire state benefits from the cutting-edge research conducted in Storrs, at the UConn Health Center and in all of our regional campuses. From the latest scientific breakthroughs to creating the next generation of scholars and scientists, UConn plays a large and growing role as one of the nation’s outstanding public research universities.

Much of that strength comes from a highly acclaimed faculty, often recruited and retained with the help of private support. Endowed chairs give members of our world-class faculty the tools they need to expand the frontiers of scholarship in the sciences, the humanities and the arts.

Perhaps most importantly, private giving demonstrates the public trust in the University as a whole, along with the recognition that UConn is an asset to the state that symbolizes our state’s commitment to knowledge and creative thought.

As we prepare together for a new multi-year campaign, I hope we take the stories contained in this report as a reminder of the potential that exists within UConn. I look forward to working with you in the months and years to come.

Philip E. Austin
President
University of Connecticut

A university is not simply a finite entity, but rather an accelerator of humanity’s limitless potential. The 15 individuals profiled on the following pages inspire, teach, heal and personify private giving’s ability to change a life, the University of Connecticut and our world. The stories represent their hopes, dreams and potential, while also serving as a reminder of the many others at UConn whose lives have been shaped by a donor’s generosity – yesterday, today and for many tomorrows to come.

Their extended stories may be read at www.foundation.uconn.edu.
Despite the tempting number of law schools and influential firms in nearby New York City, Ridgefield native Mathew Jasinski decided to attend UConn, largely because of the financial support offered, the Honors Program and a single visit to the Storrs campus.

“I couldn’t believe what I saw when we came to Storrs,” he recalls. “It was very impressive, and through the Honors Program I found myself experiencing the very same things that my friends at other universities were experiencing except they were paying a lot more.”

His decision led to a half-tuition scholarship, and academic success both as an undergraduate and eventually at UConn School of Law. After graduating this past May, Jasinski now finds himself looking for a Connecticut Supreme Court justice and contemplating his future in Hartford, thanks to a job offer at one of the city’s leading law firms.

“I love it here,” he says. “There’s a great sense of community here, and I want to leave my mark on that – if nothing else, to show that Hartford can keep young professionals like myself.”

That mark may be political in nature someday; he is extremely interested in politics and hopes to become active in local issues. For now, though, Jasinski immerses himself into the legal profession. He finds an opportunity to realize his lifelong goal of being a lawyer. Jasinski quickly credits his UConn education for getting him to where he is.

“It’s been an amazing experience, including the support from the University,” he says. “It’s made life a lot easier and put me in a better position to now give something back.”

STORIES OF ACHIEVEMENT

STORIES OF ACHIEVEMENT NO.1

SHAWNET JONES, ’09
Biology, Geosciences and Spanish
College of Liberal Arts and Sciences

“I’ve always wanted to be a pediatrician,” says Shawnet Jones, who is in the Honors Program and the Combined Program in Medicine. “I love working with children. And because I love children and science, I put the two together.”

What Jones finds so intriguing about science are its dynamic nature and its potential to change lives. “The science field is always changing. And you can go in and make a difference,” she explains. Jones notes that the opportunity to conduct original research while an undergraduate was a selling point for UConn because it would “provide a strong science background” prior to medical school.

The financial support Jones received as a Day of Pride Scholar enabled her to attend UConn. “Definitely,” she says. “The scholarship made a difference.”

“I’m very grateful for everything because I know I wouldn’t be here otherwise.” Jones is also a John and Valerie Rowe Health Professions Scholar and a MassMutual Scholar.

Always looking for a new challenge, Jones will begin peer mentoring and tutoring during her sophomore year. She is also considering studying abroad in both Spain and South Africa.

After she becomes a doctor, Jones plans to return to her hometown of Hartford. “I definitely want to go back to Hartford and give back to my community,” she says. Additionally, she hopes to join Doctors Without Borders. “I’ll use my experience and education to help other people, that’s all I’m interested in,” says Jones.
It's a long way from Storrs to Cape Town, South Africa, where high unemployment, poverty, crime, pollution, urban overcrowding and HIV/AIDS have ravaged the outlying townships.

For Steve Ferketic, Cape Town represents something else: the global conflict between the need for basic housing and natural resources conservation. Through the UConn Study Abroad program and grant support from the Summer Undergraduate Research Fund, Ferketic worked in the Cape Flats region of Cape Town for six months, studying how urban sprawl is impacting the famed Macassar Dunes.

Ferketic's research, involving more than 80 taped interviews, crossed all economic boundaries and was conducted in both the highest levels of the conservation movement and in the squalor of the dune shanties. He soon discovered common threads of humanity.

"Conservation is typically looked at as an activity of the affluent, but when you interview these residents right below the dunes, you see that we're all the same," Ferketic says. "The similarities between us were what I noticed."

Ferketic says financial support, including a partial scholarship to UConn and support for extending his African trip, has been "vital" to his success. He is soon embarking for Brazil to further research coastal development.

"When I started the research, I wasn't sure how valuable it was really going to be. What I saw, though, was that for social change to happen, it's not a matter of making ... a lot of little ones," he says. "If you work on a micro level, eventually you get to influence larger things."

From cutting-edge neuropharmacology research to much-needed adolescent psychiatry, the UConn Health Center’s Department of Psychiatry has leapt to the forefront with two new distinguished chair awards to Daniel Connor and Andrew Winokur.

"This gift is the oxygen that supports what we do," says Connor, who was recruited to UConn to develop the Division of Child and Adolescent Psychiatry with the award. "The message being sent here is that the University values child psychiatry research and clinical care, and that's extremely powerful."

While the doctors’ work differs, both seek to move beyond the psychiatric developments of the 1950s and 1960s that still resonate in their fields.

Without work on thyroid-releasing hormones/TRH could lead to treatments for mental illnesses that outdate today’s, which have origins in that earlier era. In collaboration with the Cardi and Ray Frasq Comprehensive Cancer Center, Winokur also researches whether TRH helps cancer patients and others with chronic fatigue. He believes his research follows in the footsteps of its namesake, Dr. Manfred J. Sakel, an early proponent of biological components to mental illness.

"If [Dr. Sakel] were here today, he’d be excited at the work being done in our department, working on factors like TRH that weren’t even known in his time," says Windor.

Funded anonymously, Connor’s award address the perennial shortage of adolescent and child psychiatrists. The field has developed a "critical mass" in the last 20 years, Connor says, due to an explosion of research. The Division now offers educational programs to disseminate information, provides presentations to pediatricians and family doctors and is developing a solid foundation of research and clinical care. It also operates a new outpatient child and adolescent clinic in West Hartford.

"The direct care is "entirely consistent with our public mission,” Connor says, “and will provide an enormous direct benefit to the people of Connecticut.”

"We are extraordinarily excited," says Winokur, "and believe this has the potential to alter our understanding of mental illness.”

"If you work on a micro level, eventually you get to influence larger things."
If pumas themselves were as easy to find as the signs that they are present, Kirstin Lawrence-Apfel’s skills wouldn’t be as important to ecological research in the rugged, high terrain of Patagonia. Pumas are lean, muscled and refined predators, as well as cryptic beasts. Capable of living on the fringes of their environment, they are so adaptable that man’s efforts to remove them have often failed. Lawrence-Apfel is a tracker, following pumas from faint clues like broken branches, pawprints or the warning cries of their prey.

"Tracking is asking questions about the everyday mysteries all around us, following clues until the questions are answered," she says. "It’s really very addicting."

From previous Patagonian trips with Dr. I. Morty Ortega of the College of Agriculture and Natural Resources, she is preparing for a seminal journey in 2007, when she will track, tranquilize, tag and follow the 150-pound cats for a year. In recognition of her work, Lawrence-Apfel has been awarded a Bishop-Carder Scholarship, which allows her to attend the 2006 International Society of Professional Trackers Annual Symposium.

Her research is important, she says, because as pumas and humans move into common territory around the world, encounters will increase. Proven census and tracking systems ... elsewhere. The work will also lead, she hopes, to an opportunity to teach the art of tracking to the next generation.

"It’s about bringing it back, and sharing a passion of learning and knowing," she says. "A lifetime of learning is wasted unless you can pass it on in some way."

Donald Leu and his research team are in a race, and at the end lies a connected world that is more just, literate and productive.

Leu’s team at the New Literacies Research Lab in the Neag School of Education conducts research on “New Literacies of the Internet,” or the skills needed to read and communicate effectively in today’s multimedia, networked, online world. His team seeks fundamentally improve reading and writing instruction by integrating the new literacies of the Internet into every classroom.

His colleagues, like Leu himself, were attracted to UConn from other universities by the innovative work made possible by the Neag endowment.

"For a professor, my endowed chair is the gift of a lifetime," Leu says. "It’s rare to do the work that I know is critical to our nation’s future.

Leu’s team has been awarded five major research grants, published six books, written nearly 200 articles and leveraged more than $8 million of additional research funding.

Believing that America is behind many industrial nations in preparing students for the 21st century reading, Leu also worries about the broader implications of a society unable to critically interpret the information encountered online. Many other countries have taken steps to ensure students know how to communicate on the Internet, as well as use technology to the fullest. Leu sees this as a vital challenge for American schools.

"The race has just begun, but if something isn’t done, we’re going to lose it," Leu says. "We’re trying to prevent our kids from becoming second-class citizens of technology."
V. Kumar, Ph.D.
ING Chair in Financial Services
Executive Director, ING Center for Financial Services
School of Business

V. Kumar was recruited to the School of Business in 2001 to be the first ING Chair in Financial Services and executive director of the ING Center for Financial Services, which endowment supported by an endowment from ING Financial Services. With strong research, financial services and retail initiatives, Connecticut is the perfect forum for research in marketing, explains Kumar. He immediately recognized what the Center could accomplish in terms of academic research and practical modeling for the business world.

“The challenge here—the place was a raw talent. The Marketing Department was growing and it needed a catalyst, a spark to put it on a different plane. And that challenge was exciting,” Kumar says.

The Center is a prolific source of scholarly papers and groundbreaking work in marketing intelligence. Kumar credits the Center’s team of talented faculty and doctoral students. “I never expected this five years ago. One paper published a year is considered highly productive. In the five years I’ve been here, we’ve published 50,” he elaborates.

Most recently, Kumar and then-doctoral candidates Rajkumar Venkatesan, Ph.D., and Werner Reinartz, Ph.D., developed a model that predicts what a consumer will buy next based on his/her purchase history. Their paper, featured in Harvard Business Review (March 2006), has been a critical success and has turned the national spotlight on the UConn School of Business.

Kumar summarizes, “We are now becoming the capital in customer relationship management research. Cutting-edge research is done here. We are multiple steps ahead because we have the know-how, too, we have the staff, and three, we have the data.”

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James Chen, ’07
Molecular and Cell Biology
College of Liberal Arts and Sciences

After two high school internships with the National Institutes of Health, James Chen knew he wanted to become a doctor. “It was the field I felt was most relevant. I knew I wanted to go to medical school definitely, and I wanted to treat patients,” Chen explains.

Chen, who is in the Honors Program and the Combined Program in Medicine, chose UConn because of the rich resources, range of programs and high number of co-curricular activities. The aid he received as an recipient of a University Honors Scholarship and Leadership Scholarship was another deciding factor. Additionally, for his outstanding academic performance, Chen has been named a University Scholar.

As a fellow at the UConn Health Center, Chen is shadowing clinicians working in various specialties and exploring his options. UConn Milaux offers “a really broad range of clinical needs that most people don’t have an opportunity to do,” says Chen.

“Having a chance to really see it helps me formulate the idea of the kind of doctor I want to be, and that’s really important.”

During summer 2006, Chen worked alongside Professor Stephen Wikel, Ph.D., on the Ixodes Scapularis Genome Project, conducting research that may lead to vaccine for tick-borne diseases. Since his freshman year, Chen has also had the extraordinary opportunity to work on stem-cell research with Professor Xianghong “Jerry” Yang, Ph.D., at the UConn Center for Regenerative Biology, where Chen is also conducting his own original research.

“You can see that in two to five years, this will amount to something great,” Chen notes about the research projects he’s been involved with. “Staying on the cutting edge brings me that much closer to being a part of finding a cure.”

STORIES OF ACHIEVEMENT
NO.8

James Chen, ’07
Molecular and Cell Biology
College of Liberal Arts and Sciences

STORIES OF ACHIEVEMENT
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When researchers eventually unravel the genetic mystery of autism, they very well may have a sports-related injury to thank for it. It was during therapy for a back injury suffered during a high school baseball game that Adam Raefski first became interested in science, and his journey has since led him to the forefront of genetics.

For his work, Raefski was the 2006 recipient of the Walter E. Hildick Prize for Innovation in Neuroscience, funded by private giving. "It’s a cliche, but the recognition this award brings proves that hard work really does pay off," Raefski says. "The award inspires this kind of research, which even if it doesn’t result in a discovery, may spark the questions needed for someone to take the next step.”

While scientists are still several steps removed from the discovery of an “autism gene,” Raefski believes his work may spur other UConn students and researchers in a quest for additional imprinted genes and the study of how they first become imprinted. To those with autism, Raefski’s work may mean that accurate diagnostics and improved treatment methods are that much closer.

Michie Hesselbrock, Ph.D.
Judith M. and Henry M. Zachs Chair in Social Work
Director, Ph.D. Program
School of Social Work

Named the Judith M. and Henry M. Zachs Chair in Social Work in 2002, Michie Hesselbrock has spearheaded the development of the School’s now burgeoning Ph.D. program. The program welcomed its first doctoral students just four years ago and now has 22 active students. The Ph.D. candidates are researching various pressing issues—both locally and nationally—including social work policies, child and elder welfare, homelessness, acculturation, substance abuse, alcohol dependency, medical problems, and mental health problems.

Hesselbrock’s current objective is to continue boosting the School’s visibility. The recipient of numerous grants, Hesselbrock has received high-profile awards for her substantive research in substance abuse, medical problems, and their co-occurrence. She notes that the increasing number of conference presentations and publications in scholarly and peer review journals by faculty and Ph.D. students is showcasing the compelling research being done at UConn.

Going forward, Hesselbrock will continue expanding and improving the Ph.D. program. She’s successfully integrating research into the field and developing an evidence-based program. "I hope to bring research into practice,” she elaborates. Social work is new to the research discipline. I’m trying to get them together, and now I see a change.”

The Ph.D. program is supported by a $1 million endowed Chair from Judith (‘77, M.S.W.) and Henry Zachs. Hesselbrock notes the transforming effect their gift and, in turn, the Ph.D. program have had on the School, which is now ranked 22nd in the country. “We were very, very disadvantaged in terms of getting research funding and ranking,” she elaborates. “And that contribution was one of the major impacts on the School of Social Work.”
Ke’Land Denney was in the first week of his freshman year at Delgado Community College when Hurricane Katrina smashed into New Orleans, submerging many of the college’s facilities.

His family became refugees, evacuating to Houston. Although the Denneys were relieved to learn that their house emerged largely unscathed, most of New Orleans remained without power, phones and basic services. Unable to stay in Houston or go back home, the family reached out for help and found themselves in Connecticut, living with Ke’Land’s aunt. Local community support followed, and a house was offered so that the family could live in less cramped quarters.

It was during this time that Ke’Land learned that UConn was offering assistance for displaced students. Within a week, he was attending classes in Storrs.

“It really happened very fast after that first phone call,” he says. “The ACES [Academic Center for Exploratory Studies] staff helped me out a lot, and financial assistance was offered to offset the cost of out-of-state tuition. I’d never have been able to come here otherwise. It’s just been a great opportunity for me.”

While being half a country away from his family is “very scary,” Ke’Land says his family is very proud and supportive of him for continuing his studies. The experience of being aided in a crisis made an impact on Denney, who changed his coursework from computer science to social work.

“I’ve been helped out so much,” he says. “Working with people to help them get through problems; that’s what I’m most interested in. I want to give something back.”

The tiny dental implants that Thomas Taylor specializes in offer the great potential of safer, easier dentistry in the future for everyone. Recently, two major gifts have helped to ensure that potential is realized.

Through financial support from leading dental implant companies Straumann USA and Astra Tech Inc., as well as others, the work of Taylor and his researchers will soon be boosted with the opening of the University of Connecticut’s Center for Implant and Reconstructive Dentistry in Farmington. The Center will serve as a regional teaching, research and patient care organization, as well as a training facility and support network for dental implant studies and practice.

Focusing on translational research, the Center will bridge the gaps between the dental community, academics and ongoing research. Industry support helps build to the programs foundation and ensure that it has the resources to open and function.

“The gifts help build the critical mass that demonstrates the value of this program to the residents of Connecticut and to dentists everywhere,” Taylor says. “The bottom line here is that the Center couldn’t open or exist without this financial support.”

Despite the advantages of implants over other technologies, many community dentists have not yet been trained in their use, something that Taylor says the Center will address both through intensive community outreach and by serving as a resource center for ongoing professional development.

“Implant technology is growing rapidly,” Taylor says. “For that growth to be orderly, the field needs measures for research, education and safety training for local dentists. We’re going to do all of that at the Center. This is an investment in all of dentistry for everyone.”

Ke’Land Denney, ’09 Sociology College of Liberal Arts and Sciences

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Thomas Taylor, D.D.S., Department Head, Oral Rehabilitation, Biomaterials and Skeletal Development School of Dental Medicine UConn Health Center

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Without legal representation, petitioners for political asylum in the United States face a high risk that their applications will be denied. Language barriers, unfamiliarity with the U.S. legal system, and a lack of financial resources are common obstructions. In the Asylum and Human Rights Clinic at the UConn School of Law, students represent Connecticut residents seeking asylum in the U.S. The Clinic provides excellent legal representation with the help of donors whose generous support covers the expenses incurred in order to build a convincing case for individuals like Munira Okovic.

As a Bosnian Muslim, Okovic witnessed Serbian ethnic cleansing and faced the constant threats of sexual assault and death throughout the 1992‒1996 Bosnian-Serb conflict. Following the end of the war, she came to the U.S. and sought asylum so that she would not have to return and endure further persecution. Successfully represented by the Asylum and Human Rights Clinic, Okovic was granted asylum in July 2005. She is currently enrolled at the UConn Stamford campus in the Bachelor of General Studies program with a focus in international political economy and diplomacy.

The Clinic’s 84 percent success rate far exceeds the national average of 25 to 30 percent, with Clinic Director Jon Bauer attributing their success to the incredible work that the students put in and their incredible commitment.

The Clinic’s experience enables law students to acquire such key skills as research, client interaction and counseling, case planning, direct and cross examination, and working with field experts. Additionally, clinic experience instills a sense of duty to the community. “One of our missions as a public law school is to train legal practitioners who will work in the public interest,” says Bauer.

The Clinic has also received ongoing major support from the Wilson Wilde Family Foundation and the Joshua Greenberg ‘95 Memorial Client Assistance Fund.
In REVIEW

As UConn celebrated its 150th anniversary and realized the first fruits of the 21st Century UConn program, private giving led the way with charitable, faculty, student and program support that addressed public needs and required the University to thrive.

Athletics Thrive from Private Giving

The single largest source of private giving for the University, the UConn Athletics program, generated nearly $2.0 million in pledges and contributions. The opening of The Burton Family Football Complex and the Mark R. Shenkman Training Center cemented UConn's reputation for world-class athletic facilities and programs. Both facilities, initiated from major private gifts, opened in the summer of 2006. Philanthropy was not limited to improved facilities; however, for the fourth consecutive year, private giving to UConn athletics broke records and funded more than 90 percent of the scholarships for student athletes. This total of 9,091 million was more than seven times the amount awarded in 1999.

Annual Giving Celebrates Another Strong Year

The annual fund experienced an extremely strong year, generating nearly $1.3 million, a 23 percent increase over FY05 and 15 percent over this year’s goal. The Foundation kept abreast of industry trends in designing its 2006 annual fund efforts, particularly in the use of technology. Online giving has rapidly grown in recent years and was reflected in the annual fund, which represents an 8 percent increase over FY05 and 15 percent over this year’s goal. The Foundation kept abreast of industry trends in designing its 2006 annual fund efforts, particularly in the use of technology. Online giving has rapidly grown in recent years and was reflected in the annual fund, which represents an 8 percent increase over FY05 and 15 percent over this year’s goal. The Foundation took a leadership role advocating for public support of higher education. Staff and volunteers, along with UConn Advocates, worked with Connecticut’s elected leaders to amend the Higher Education Endowment Matching Grant Program. A 50 percent match for endowment gifts made in early 2005 was restored, creating a potential $1.5 million in matching funds. Although the program was reduced for current gifts and remains tied to the state’s “rainy day” fund, it continues to show strong public support for higher education.

Positive Changes at UConn and the Foundation

New academic and research facilities, such as the Pharmacy/Biology building, attracted key staff, attention and philanthropic support. Many new major donations pledged in the past year helped complete the academic picture, enhancing the University and its faculty, staff and students in many ways. "The wide range of gifts included support for a new marine research vessel at the Avery Point campus named in honor of former governor Lowell P. Weicker Jr. and a $2.0 million scholarship program funded by the Weicker-MassMutual Foundation for Hartford, Inc., for academically talented Hartford high school graduates who might not otherwise have the chance to attend UConn.

The Foundation itself also grew in terms of capability and focus. The newly created Advancement Services Department combined the forces of Management Information Systems with the Research Department and Gift Accounting. This new capability led the entire field with a 2006 Grand Gold Medal ‘best practice award from the Council for Advancement and Support of Education (CASE). It was one of only 5 Grand Gold Medal winners selected from more than 3,100 international entries.

Changing trends in private giving were also recognized by the Foundation and then incorporated to meet donors’ needs. A Women’s Philanthropy Council was launched in October 2005 to recognize the increasing role of women in philanthropy in the 21st century. By supporting and promoting innovation and excellence at the University, its members affirm UConn’s role as a public research university that is changing lives for the better through education, research and service to community.

Advocacy and Changes for State Matching Program

The Foundation took a leadership role advocating for public support of higher education. Staff and volunteers, along with the University’s Off-Campus Government Relations and the UConn Advocates, worked with Connecticut’s elected leaders to amend the Higher Education Endowment Matching Grant Program. A 50 percent match for endowment gifts made in 2005 was restored, creating a potential $1.5 million in matching funds. Although the program was reduced for current gifts and remains tied to the state’s “rainy day” fund, it continues to show strong public support for higher education.

Annual Fund Giving (Dollars in millions)

<table>
<thead>
<tr>
<th>Year</th>
<th>Giving</th>
</tr>
</thead>
<tbody>
<tr>
<td>2001</td>
<td>$2.0</td>
</tr>
<tr>
<td>2002</td>
<td>$2.3</td>
</tr>
<tr>
<td>2003</td>
<td>$2.2</td>
</tr>
<tr>
<td>2004</td>
<td>$2.5</td>
</tr>
<tr>
<td>2005</td>
<td>$3.1</td>
</tr>
<tr>
<td>2006</td>
<td>$3.8</td>
</tr>
</tbody>
</table>

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Financial PERFORMANCE

Favorable fundraising results coupled with strong investment returns have yielded a robust financial picture for fiscal year 2006. Although amounts decreased in some categories, the overall outlook remained positive. The pooled endowment and total assets reached record highs, continuing the steady growth over the past four years.

Surpassing the initial goal to raise $90 million, the Foundation received $112.3 million in cash-based gift receipts, showing a $4.3 million, or 9.5 percent, decline from 2005. A total of $43.3 million was received in new gifts and commitments; down $4.3 million, or 9.5 percent, from 2005.

Of the new gifts and commitments received, donors specified $6.6 million for faculty, $6.5 million for scholarships and $6.7 million for programs. The total designated for operations was $61.1 million. Another $6.5 million was received for endowments, new gifts and commitments brought in $1.4 million for athletics, $6.1 million for the UConn Health Center and $6.2 million for the Storrs and regional campuses.

Thirty-nine new endowments were established. Thirteen new endowments were held by the Foundation in new gifts.

A strong $34.4 percent of UConn alumni donors in 2006, ranking UConn seventh among national public university alumni giving, according to U.S. News & World Report. Alumni, who gave total of $61.1 million, represented 13.4 percent of all donors. Corporations represented 6.5 percent of the donors, and gave $9.8 million. Another $1.4 million was provided by private foundations, which represented 3.4 percent of donors. The total number of donors was 9,166, up slightly from 2005.

The Foundations endowment investments showed strong performance bringing in a return of 8.4 percent. Investments gained 13.9 percent despite a turbulent year for financial markets with sharp increases in oil and natural gas prices, general inflation pressures, interest rates and hurricanes Katrina and Rita. The portfolio exceeded its benchmarks, surpassing the policy fund and a composite of market indices by 1.4 percent and 1.6 percent, respectively. For comparative purposes, the S&P 500 gained 1.6 percent, the MSCI EAFE gained 10.6 percent and the Lehman Aggregate Bond Index lost 0.8 percent.

The most significant contributions to the solid investment performance were from international equities, where investments in developed markets gained 27.7 percent and investments in emerging markets gained 49.8 percent. Gains were realized from real estate investment trusts (10.5 percent), hedge funds (39.0 percent), domestic mid-sized company stocks (30.5 percent) and private capital (11.4 percent).

Continuing a positive trend, this is the fourth consecutive year in which the portfolio gained in the post investment portfolio, which now stands at $66.3 million. That represents a $3.2 million, or 5.2 percent, increase since 2002.

The Foundations endowment total now stands at $366.4 million, making a significant $34.3 million, or 10 percent, increase from 2005. The Foundation is also pleased to report that there have been 110 percent growth over the past four years.

In other positive news, the endowment total is expected to jump by approximately $4.3 million when the state implements a retroactive increase in matching funds from $10 to $20 percent for gifts to endowments made between January 1 and June 30, 2006. Endowment gifts eligible for matching funds from the date which fall below a calendar year basis rather than a fiscal year, so for total $93.5 million. As of June 30, the Foundation had raised 61 percent of the funds needed to reach the 2006 calendar year’s goal of $150 million.

Thanks to continued successful fundraising efforts and endowment growth, a record $904 million was made available for various purposes. That figure represents an $223 million, or 33 percent, increase over last year. The total called upon by the University increased by $1.4 million, or 10 percent, to a record $150.4 million. Of that, $6.1 million was dedicated for scholarships and fellowships. The University also allocated $5.1 million for faculty support, $10 million for programmed W.2 million for facilities.

Finally, the Foundation is total assets grew by $4.3 million, or 7 percent, to reach a record $366.4 million. Over the past four years, the total assets have increased by $81.1 million, or 30 percent. With continued generous support from dedicated alumni, parents and friends, we endeavor to continue this upward trend.

Consolidated Statements of FINANCIAL POSITION

($Dollars in thousands)

<table>
<thead>
<tr>
<th></th>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ASSETS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash and cash equivalents</td>
<td>$1,862</td>
<td>$2,946</td>
</tr>
<tr>
<td>Cash restricted for debt service</td>
<td>893</td>
<td>500</td>
</tr>
<tr>
<td>Phoenix receivable, net</td>
<td>30,846</td>
<td>31,915</td>
</tr>
<tr>
<td>Prepaid expenses and other receivables</td>
<td>704</td>
<td>468</td>
</tr>
<tr>
<td>Investments</td>
<td>335,660</td>
<td>307,929</td>
</tr>
<tr>
<td>Funds held in trust by others</td>
<td>6,536</td>
<td>6,294</td>
</tr>
<tr>
<td>Cash surrender value of life insurance</td>
<td>220</td>
<td>306</td>
</tr>
<tr>
<td>Deferred bond issuance costs, net</td>
<td>287</td>
<td>299</td>
</tr>
<tr>
<td>Research and Development Corporation</td>
<td>789</td>
<td>680</td>
</tr>
<tr>
<td><strong>Total assets</strong></td>
<td>$368,623</td>
<td>$362,996</td>
</tr>
</tbody>
</table>

**LIABILITIES AND NET ASSETS**

<table>
<thead>
<tr>
<th>Liabilities</th>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts payable and accrued expenses</td>
<td>1,932</td>
<td>1,898</td>
</tr>
<tr>
<td>Trusts and estates payable</td>
<td>2,573</td>
<td>2,645</td>
</tr>
<tr>
<td>Accrued debt service interest</td>
<td>350</td>
<td>312</td>
</tr>
<tr>
<td>Bonds payable</td>
<td>1,935</td>
<td>1,910</td>
</tr>
<tr>
<td>Research and Development Corporation</td>
<td>426</td>
<td>520</td>
</tr>
<tr>
<td><strong>Total liabilities</strong></td>
<td>$7,748</td>
<td>$7,690</td>
</tr>
</tbody>
</table>

**Net Assets**

<table>
<thead>
<tr>
<th>Unrestricted</th>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research and Development Corporation</td>
<td>385</td>
<td>388</td>
</tr>
<tr>
<td>Foundation</td>
<td>4,846</td>
<td>2,998</td>
</tr>
<tr>
<td><strong>Total unrestricted</strong></td>
<td>$5,231</td>
<td>$3,386</td>
</tr>
<tr>
<td>Temporarily restricted</td>
<td>109,946</td>
<td>91,124</td>
</tr>
<tr>
<td>Permanently restricted</td>
<td>228,509</td>
<td>239,712</td>
</tr>
<tr>
<td><strong>Total net assets</strong></td>
<td>$553,456</td>
<td>$525,807</td>
</tr>
<tr>
<td><strong>Total liabilities and net assets</strong></td>
<td>$368,623</td>
<td>$362,996</td>
</tr>
</tbody>
</table>

**NOTE:** The data is summarized from the Foundation’s financial statements. Complete audited financial statements are available upon request.
## Consolidated Statements of Activities

(Dollars in thousands)

<table>
<thead>
<tr>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>REVENUES, GAINS AND OTHER SUPPORT</strong></td>
<td></td>
</tr>
<tr>
<td><strong>CONTRIBUTIONS</strong></td>
<td>$29,526</td>
</tr>
<tr>
<td><strong>Adjustment to endowed state match</strong></td>
<td>-</td>
</tr>
<tr>
<td><strong>Net total investment returns</strong></td>
<td>34,224</td>
</tr>
<tr>
<td><strong>Contractual payments from the University of Connecticut</strong></td>
<td>8,348</td>
</tr>
<tr>
<td><strong>Membership and other income</strong></td>
<td>1,259</td>
</tr>
<tr>
<td><strong>Research and Development Corporation</strong></td>
<td>50</td>
</tr>
<tr>
<td><strong>Total revenues, gains and other support</strong></td>
<td>$73,237</td>
</tr>
<tr>
<td><strong>EXPENSES</strong></td>
<td></td>
</tr>
<tr>
<td><strong>University Support</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Student scholarships, fellowships and awards</strong></td>
<td>$10,259</td>
</tr>
<tr>
<td><strong>Facilities construction, improvements and related expenses</strong></td>
<td>8,193</td>
</tr>
<tr>
<td><strong>Faculty and staff compensation</strong></td>
<td>6,271</td>
</tr>
<tr>
<td><strong>General program support</strong></td>
<td>3,184</td>
</tr>
<tr>
<td><strong>Facilities, staff and student travel, conferences and meetings</strong></td>
<td>1,760</td>
</tr>
<tr>
<td><strong>Equipment</strong></td>
<td>1,048</td>
</tr>
<tr>
<td><strong>Total revenue support</strong></td>
<td>370</td>
</tr>
<tr>
<td><strong>Alumni Association</strong></td>
<td>295</td>
</tr>
<tr>
<td><strong>Research and Development Corporation</strong></td>
<td>543</td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td>$45,317</td>
</tr>
<tr>
<td><strong>Change in net assets</strong></td>
<td>$27,920</td>
</tr>
<tr>
<td><strong>Net assets, beginning of year</strong></td>
<td>$325,161</td>
</tr>
<tr>
<td><strong>Net assets, end of year</strong></td>
<td>$353,081</td>
</tr>
</tbody>
</table>

**NOTE:** This data is summarized from the Foundation’s financial statements. Complete audited financial statements are available upon request.

### NEAG Circle

Named in honor of Raymond Neag '56, '01H, whose $23 million gift in 1999 to the Neag School of Education and the UConn Health Center represents the largest single gift to the University by an individual, the Neag Circle recognizes donors who have made cumulative gifts and pledges in excess of $5 million.

- Raymond R. '98H and Beverly '98H Sackler
- Harold S. Schwartz, Jr. and Paula H. Schwartz '79
- Mark H. '10 and Roselind E. Sherman
- Richard Trellick
- William L. Van Oosterhout
- George W. Whelen IV and Kathleen O. Whelen Thomas '56 and Bethel T. Widdowson
- Judith F. '77 and Henry H. Zietz

**CHARTER OAK CIRCLE**

Named in honor of the Storrs brothers, whose gift of land and money in 1881 enabled Connecticut to establish the Storrs Agricultural School, the Charles and Augustus Storrs Circle honors donors whose cumulative gifts and pledges total between $250,000 and $499,999.

- Charles Dean Bakes
- Katharine Derr Barry
- Peter S. '61 and Linda E. '61 and Virginia S. Kalmansowt
- Scott A. and Sally Labonte
- Eric A. '80 and Penelope C. Marziali
- Lucia M. Spakowski

**FONDERS SOCIETY**

The Founders Society, celebrating its 10th year of recognizing excellence in private giving, honors donors whose cumulative giving sets a leadership example for all other donors. All gifts to the University count toward membership in the Society, including contributions to the University of Connecticut Foundation, University Athletic Development Fund, the University of Connecticut Law School Foundation and on behalf of the UConn Health Center. The Society’s members have contributed more than $5 million in gifts and pledges, benefitting thousands of students, faculty and programs with their philanthropy.

- Paul S. Philo, Sr.
- John W. '71 and Lynne M. '73 Ricks
- Carl W. and Marian E. Richtenziger
- George W. Whelen IV and Kathleen O. Whelen Thomas '56 and Bethel T. Widdowson
- Judith F. '77 and Henry H. Zietz

### Endowment Distribution

(Dollars in millions)

<table>
<thead>
<tr>
<th>2006</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Athletics</strong></td>
<td>$48.6</td>
</tr>
<tr>
<td><strong>Burns and Regional Endowed Chairs</strong></td>
<td>$5.6</td>
</tr>
<tr>
<td><strong>Endowment Assets by Purpose</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Scholarship Support</strong></td>
<td>$97.9</td>
</tr>
<tr>
<td><strong>Program Support</strong></td>
<td>$32.5</td>
</tr>
<tr>
<td><strong>Faculty Support</strong></td>
<td>$28.9</td>
</tr>
</tbody>
</table>
2006 annual report
The text is too long to display in full, but it appears to be a list of board members and directors associated with the University of Connecticut Foundation. It includes names, titles, and affiliations of various professionals and executives, along with some biographical information and roles they have held at the university or in related fields.
University of Connecticut BOARD OF TRUSTEES

The Honorable James F. Abromaitis
Commissioner
Department of Economic & Community Development
Hartford, CT
Louise M. Bailey
Secretary of the Board
Storrs, CT
Philip P. Barry
Storrs, CT
Michael A. Bozzuto
Cheshire, CT
Gerard N. Burrow, M.D.
Sea Research Foundation, Inc.
Mystic, CT
The Honorable George A. Coleman
Interim Commissioner
Department of Education
Hartford, CT
Andrea Dennis-Lotigs, D.V.M.
Bloomfield Animal Hospital
Bloomfield, CT
Peter Drick
Storrs, CT
Linda F. Gilling
Storrs, CT
Lenworth W. Jacobs, M.D.
Trauma Program
Hartford, CT
Sumeen Kaziunian
Student Trustee
Storrs, CT
Rebecca Lobo
Storrs, CT
Michael J. Martinez
Storrs, CT
Dennis J. Naples
Storrs, CT
Michael Nichols
Student Trustee
Storrs, CT
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Department of Agriculture
Hartford, CT
The Honorable M. Jodi Rell
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Hartford, CT
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Chairman
Aetna, Inc.
Hartford, CT
Wayne J. Shepperd
Storrs, CT
Richard Tobin
Aetna, Inc.
New York, NY

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Storrs, Connecticut 06269-3206
Email: contactus@foundation.uconn.edu
Telephone: 860.486.5000 or 800.269.9965
Fax: 860.486.0907
www.foundation.uconn.edu

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