



# A Third Consecutive Record-Breaking Fundraising Year for UConn Nation

It is my pleasure to present the UConn Foundation's 2022 Annual Report. For the third straight year, our generous donors pushed our fundraising total to the highest level in our history. On behalf of the Foundation and the entire UConn community, thank you for helping us reach this milestone.

More than 21,000 UConn alumni and friends joined together to contribute \$115 million in new gifts and commitments in fiscal 2022, a 24 percent increase over last year's record-setting amount. These gifts support UConn's academic, research, and public missions across all campuses and UConn Health, benefiting students, faculty, researchers, and patients.

Donors gave \$24.4 million to support UConn's role as a major research university, an incredible 146 percent increase over the \$9.9 million raised in fiscal 2021. These funds will support key research initiatives and lead to breakthroughs in fields such as technology, engineering, and health. Donations for scholarships and fellowships totaled \$29.4 million, a nearly \$1 million increase over fiscal 2021. These funds provide crucial need- and merit-based support for undergraduate, graduate, and professional students throughout UConn.

Support for UConn Health reached \$10.8 million in fiscal 2022, providing critical funding to advance patient care, research, and academic programs. Donations for the Division of Athletics totaled \$23.5 million.

This record-setting year was a testament to the belief our generous donors have in UConn and its mission. Thank you for your enduring support.

Sincerely,



Jake Lemon  
President and CEO



# Endowment

## STUDENTS ▶ \$242M

Scholarships and fellowships make a UConn education possible for outstanding students from all backgrounds.

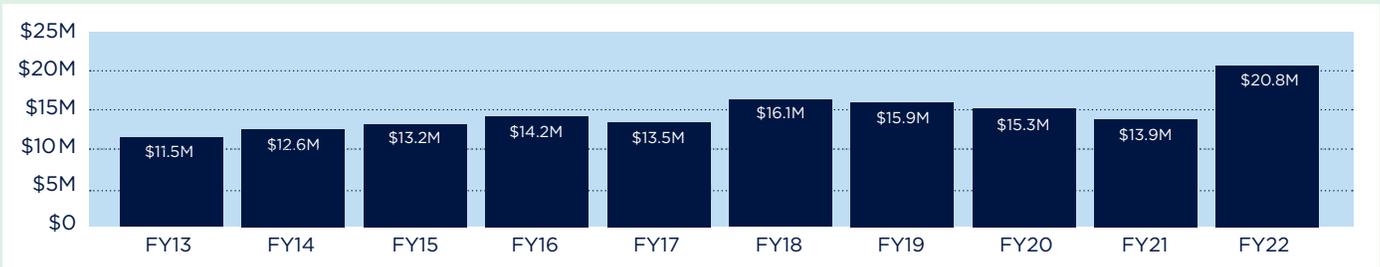
## FACULTY ▶ \$157M

Funds including endowed chairs and professorships provide the resources UConn students, researchers, physicians, and scientists need to achieve innovation and breakthrough discoveries.

## PROGRAMS ▶ \$194M

Endowed accounts support technology, experiential learning, student research opportunities and conferences, and other priority programs.

## Ten-Year Total Spending Allocations



SPENDING ALLOCATION AMOUNTS ARE THE DOLLARS DISTRIBUTED FROM THE ENDOWMENT TO SUPPORT THE UNIVERSITY.

## Endowment Management

The UConn Foundation is honored to manage endowed funds in support of the University's mission. The fiduciary responsibility is carried out by members of the Foundation Board of Director's investment committee, Foundation management, and staff. The endowment is largely managed as a single portfolio, but funds are invested in various asset classes to diversify risk.

The UConn Foundation distributes 4 percent of the rolling, three-year average of quarterly market values of endowed funds to UConn. This spending policy is intended to provide stable income to the University for its current needs while maintaining the long-term purchasing power of the endowment. Earnings in excess of spending distributions and advancement fees are added to the balance of the endowment to help maintain the long-term health of the endowment during periods of poor market performance. Distributions will be suspended if the fund falls below 15 percent of its historic dollar value.

# Fundraising By the Numbers

Fiscal Year 2022 (July 1, 2021 through June 30, 2022)



**21,540**  
DONORS

## TYPES OF GIFTS



**13,076**  
Alumni and  
Students



**6,180**  
Friends



**1,846**  
Parents



**438**  
Corporations  
and Foundations

**\$60M**

Cash, stock,  
in-kind donations,  
and research grants

**\$27.1M**

Planned gifts  
pledged in a will,  
trust, charitable gift  
annuity, IRA, or  
other estate plan

**\$27.9M**

Multiyear gift  
commitments  
typically paid  
over five years

## MISSIONS

**\$41.5M**  
Academic  
Programs

**\$29.4M**  
Scholarships  
and Fellowships

**\$24.4M**  
Research

**\$13.8M**  
Capital  
Improvement  
Projects

**\$5.9M**  
Faculty

## OPERATIONS

**\$77.8M**  
Operations

## ENDOWMENT

**\$37.2M**  
Endowment—Current

# Fiscal Year 2022 Highlights

Eversource made a \$13.6 million investment to extend its support for the Eversource Energy Tech Park Initiative, a partnership with the School of Engineering; the College of Agriculture, Health and Natural Resources; and the School of Business. Current research areas include projects on storm outage forecasting and climate change.

Daniel S. Frey '86 (BUS) and Diana L. Grant created the Alexandra Frey Memorial Fund in honor of Frey's daughter. The funds will support a Residential Learning Community for students interested in developing knowledge and skills related to mental and physical well-being.

The Helen Gurley Brown Foundation increased its existing funding in support of UConn's BOLD Women's Leadership Network. This program facilitates opportunities for women's leadership through scholarship funding, programming, and engagement in service/leadership projects.

Jay, Jed, and Sandra Shivers added \$1.5 million to their bequest to endow a faculty chair at the UConn School of Fine Arts, the first in the school's history. The Rhoda Shivers Chair in 19th Century Art will help UConn SFA attract and retain a faculty member who has made significant contributions to the field of nineteenth-century art.

## TRANSFORMATIONAL INCREASES IN SUPPORT

### School of Business

2021: \$6.4M

**2022: \$12.4M**

### Neag School of Education

2021: \$2.2M

**2022: \$3.3M**

### School of Engineering

2021: \$5.4M

**2022: \$14.6M**

### School of Fine Arts

2021: \$786,933

**2022: \$4.3M**

### School of Social Work

2021: \$103,580

**2022: \$2.8M**

# Events and Engagement

This was the year Husky Nation came back together in person. We welcomed alumni, donors, and friends to our Connecticut campuses and hosted events across the country. At the same time, our virtual opportunities continued to strengthen connections throughout the UConn community.

## EVENTS BY THE NUMBERS

- ▶ **279 EVENTS**
  - ▶ **135 IN-PERSON EVENTS**
  - ▶ **144 VIRTUAL EVENTS**

**11,167** UConn Alumni and Donors Attended Events

**6,365** Donors    ◀ **2,685** First-Time Event Attendees

### UConn Nation tuned in from...

- 📍 **48 states**
- 📍 **Washington, D.C.**
- 📍 **Puerto Rico**
- 📍 **Armed Forces**



**26 International Locations**





## ENGAGING WITH ALUMNI

### ▶ 27 ALUMNI NETWORKS



## Alumni Event Highlights

Our UConn alumni connected with each other at hundreds of events this year. From book and cooking clubs to networking events and reunions, there was always an opportunity to explore an interest or learn a new skill.

Among the fiscal 2022 highlights: Elevate, a month of programming to enhance career and professional development; 1881 Series, which brought alumni to alumni-owned breweries, wineries, and distilleries; game watch parties; and virtual Welcome to the Neighborhood events to introduce new UConn graduates to local alumni.





# Diversity, Equity, and Inclusion



## Husky Nation is a Powerful Force for Change

In fiscal 2022, alumni and donors helped us strengthen our commitment to the principles of diversity, equity, and inclusion (DEI). You joined important conversations, cultural celebrations, and networking events to support and learn from each other.

### EVENTS SUPPORTING DEI



**TOTAL EVENTS**



**TOTAL  
ATTENDEES**



**FIRST-TIME  
ATTENDEES**



**DONOR  
ATTENDEES**

Our This is America series returned for a second year to bring alumni, students, and faculty together for important discussions on racism, social justice, and human rights. Among the topics were critical race theory in schools, the long-standing history and experiences of Native and Indigenous peoples at UConn, and the disability rights movement.

The UConn African American Alumni Council's Real Talk series featured thought-provoking speakers on topics including racism as a deadly disease, and what environmental and climate justice mean for communities of color.

The Asian American Alumni Association (UConn4A) hosted several events ranging from social engagement, professional networking, community engagement, and celebrations. UConn4A also launched a mentorship program to pair alumni with undergraduates or recent graduates.



## Gifts Supporting Diversity, Equity, and Inclusion

Philanthropic support makes a UConn education achievable for more students from traditionally underrepresented backgrounds and empowers researchers to find solutions for some of society's most pressing problems. Donors also make events, programming, and initiatives possible. Their generosity is a testament to the value they place on diversity, equity, and inclusion.

# New Funds Endowed in 2022

Donors established 37 endowed funds in fiscal 2022, providing crucial support for students with financial need, faculty research and academic activities, and programmatic enhancements.

## ATHLETICS

**The Curtis Family Anacapa Athletics Scholarship** supports undergraduate student-athletes from any varsity sport who are majoring in environmental sciences or environmental studies. Preference is given to members of the men's and women's soccer and hockey teams.

**The Star Kids Kathy and Geno Auriemma Scholarship** supports undergraduate student-athletes who are members of the women's basketball team. Preference is given to first-year students and students with financial need.

## COLLEGE OF AGRICULTURE, HEALTH AND NATURAL RESOURCES

**The William and Anne Dest Scholarship** supports students enrolled in the College of Agriculture, Health and Natural Resources Plant Science and Landscape Architecture programs who have a demonstrated interest in turf grass science and management.

## SCHOOL OF BUSINESS

**The Dorothy L. Klein Scholarship Fund** establishes one annual scholarship to be awarded to a junior or senior pursuing a degree in business administration, with preference given to students enrolled at UConn Waterbury.

**The Mo Hussein Faculty Support Fund** provides support for faculty.

**The Henry "Bud" Boucher Faculty Fellowship** supports a tenured professor, within the School of Business's Management and Entrepreneurship Department, whose academic work in the fields of business innovation, venture capital, or private equity supports UConn's mission and goals to serve as an economic engine for the state of Connecticut.

## SCHOOL OF DENTAL MEDICINE

**The Dr. Jonny Feldman Fund for Orthodontic Residency and Junior Faculty Support** provides general financial support for the Orthodontic Residency Program and junior faculty.

**The Edward Rossomando, DDS, Ph.D. Scholarship in Entrepreneurship** supports graduate students who demonstrate academic achievement and an interest in dental entrepreneurship and innovation.

## NEAG SCHOOL OF EDUCATION

**The Daniel H. and Barbara Radomski Haywood '65 Endowment** provides scholarship support for students enrolled in the Neag School of Education.

## SCHOOL OF ENGINEERING

**The Pratt & Whitney Scholars Program Endowment Fund** provides programmatic support and scholarships for students enrolled in the School of Engineering who are pursuing a degree in mechanical engineering, electrical and computer engineering, materials engineering, or other related engineering fields. Preference is given to underrepresented students.

**The Krishna Pattipati Fellowship in Electrical & Computer Engineering** provides scholarship support for graduate students pursuing a degree in electrical and computer engineering and engaged in systems engineering research.

## SCHOOL OF FINE ARTS

**The Professor Edvin Yegir Memorial Fund** provides scholarship support for students pursuing a degree in graphic design.

**The Rhoda Shivers Endowment Fund** provides financial support for a faculty position in the Art and Art History Department in the School of Fine Arts.

## SCHOOL OF LAW

**The Fund for the Center on Community Safety, Policing and Inequality** supports the operations and programs of the Center on Community Safety, Policing and Inequality in the School of Law.

**The Gionfriddo-Mobilia Family Scholarship at UConn School of Law** provides scholarship support for students enrolled in the School of Law.

## COLLEGE OF LIBERAL ARTS AND SCIENCES

**The Orano John Capellaro Memorial Scholarship** supports academically outstanding undergraduate students enrolled full-time in the College of Liberal Arts and Sciences.

**The Christine N. Witzel Women's Health Scholarship Fund** supports students who are majoring in anthropology, human development and family studies, psychology, social sciences, sociology, or women's gender and sexuality studies. Students must demonstrate an interest in issues related to women's health.

**The Pineault Family Scholarship** supports supports undergraduate students who are residents of Connecticut and majoring in psychology at UConn Storrs.

**The Bryan K. and Alice M. Pollard Scholarship** provides financial support for mentoring and networking programs in the College of Liberal Arts and Sciences, as well as need-based scholarships, with preference given to students who have overcome obstacles such as socioeconomic or educational disadvantage, are members of groups that are underrepresented at the University including, but not limited to, students of color or students who identify as African American/Black;, or have experience living or working in diverse environments.

**The Marbert '39 and Ronald '69 Margolin Scholarship Fund** provides scholarship support for students enrolled in the University's College of Liberal Arts and Sciences, with preference given to students with financial need.

## SCHOOL OF MEDICINE

**The Janet E. McElhane Fund for Aging Research and Geriatric Medicine** will provide financial support for a speaker to present on topics in the field of aging research and geriatric medicine at UConn Health.

**The Charles and Betty Jean Stroh Memorial Fund** will provide financial support for enhancing quality and safety of care.

**The Lynn H. Austin and Arlene R. Hoepfner Geroscience Education and Research Endowment** provides financial support for assistant and associate professor-level faculty and clinicians engaging in teaching or research in the UConn Center on Aging in the School of Medicine.

**The Peter J. Deckers, MD General Surgery Residency Program Endowment** provides financial support to the UConn Health General Surgery Residency Program.

## SCHOOL OF NURSING

**The Noelle Starr Arpin Memorial Scholarship** supports undergraduate students who demonstrate an interest in pediatric or neonatal intensive care fields of study through research, employment, other activities, or coursework focused on child or infant health outcomes. Preference is given to residents of Connecticut.

## SCHOOL OF SOCIAL WORK

**The UConn School of Social Work Scholars in Aging** provides stipends for Master of Social Work students and field instructors; specialized training for students, faculty, and staff; funding for social work and gerontology lectures; and research.

## UNIVERSITY

**The Emily and Joseph Gabianelli Endowment** provides scholarship support for students earning degrees in mathematics, statistics, or related fields, including but not limited to accounting, finance, economics, health informatics, information technology, physics, or computer science. Preference is given to students who have a demonstrated interest in Italian language, literature, culture, or history.

**The Necci Family Endowment** provides scholarship support for students enrolled in the University. Preference will be given to graduates of East Hartford High School who are pursuing a degree in history, journalism, or public policy in the College of Liberal Arts and Sciences, any degree in the Neag School of Education or School of Engineering, or any degree at UConn Hartford.

**The Harrison Fitch Leadership Fund** provides financial support for the Human Rights Institute and/or the Institute for Student Success.

**The UConn Conn-Men Scholarship Fund** supports the Conn-Men a cappella group.

**The Joseph and Ruth Marino Family Scholarship** supports undergraduate students with demonstrated financial need and/or merit who are majoring in digital marketing and analytics or marketing with a concentration in digital marketing and analytics, or minoring in digital marketing analytics.

**The Kostka Opportunity for Success Scholarship** supports undergraduate students with demonstrated financial need.

**The Alexandra Frey Memorial Fund** supports programs for students interested in developing knowledge and skills related to mental and physical wellbeing.

**The Travelers Fund for Dodd Center for Human Rights** provides financial support for the priority needs of the Dodd Center for Human Rights in the University's Office of Global Affairs.

**The Wiktor Osiatynski Chair in Human Rights** provides financial support for a Chair in the Human Rights Institute in the University's Office of Global Affairs.

**The Holocaust and Genocide Education Fund** supports academic studies and outreach programs related to the Holocaust and other genocides.

**The Levant Foundation Endowment** provides financial support for the priority needs of the University's Office of Global Affairs.

# Financial Statement Overview

## **Prepared by**

*David L. Carney, CFA*

*Senior Vice President of Finance & Administration and Chief Financial Officer*

For the third consecutive year the Foundation experienced record fundraising results in fiscal year 2022, eclipsing \$100 million for the first time. The Foundation raised \$115.0 million in new gifts and commitments for the year, a 24 percent increase from the previous year, with additional support for research, capital projects, and University programs leading this growth. The endowment distributed \$20.8 million for University spending during the year, another record for the Foundation.

The strong results for fundraising and philanthropic support were tempered by the impact of market volatility on the Foundation's investments experienced in the latter half of the fiscal year. The first half of the year saw a continuation of the strong investment returns experienced since the onset of the pandemic in early 2020, with the consumer-driven recovery driving equity markets upward for seven consecutive quarters. But as we entered the fiscal third quarter, it became apparent that the inflationary pressures in the economy were more than transitory and would require much more aggressive action by the Fed to rein in. Interest rates spiked accordingly and equity markets followed suit, with global stocks down 20 percent over just the latter half of the fiscal year, reflecting fears that the Fed would have very little wiggle room to manage down inflation without choking off the recovery.

The **Audited Statement of Activities** reflects the impact of the market downturn on the Foundation's investment portfolios as net investment return was negative for the year at -\$31.9 million, leading to a drop in total revenues to \$36.9 million. On the expense side, a gradual return toward pre-COVID spending levels for meetings and events with donors and alumni contributed towards a 5.8 percent increase in expenses for Foundation operations. After accounting for a \$2.2 million year-over-year increase in expenditures for University program support, net assets decreased by \$23.0 million from the prior year end.

As indicated in the **Foundation's Audited Statement of Financial Position**, endowment assets (including cash, investments, and trusts) totaled \$577.7 million at year end, a \$32.7 million decrease from the previous period, reflecting the net effect of the favorable fundraising activity, record spending distributions, and negative investment performance. Operating investments, comprised primarily of cash and short-duration fixed income, remained essentially flat year-over-year as net donor inflows kept pace with negative return on these investments.

The Foundation continued to reduce its liabilities during the year, paying down its debt by \$3.1 million. It ended the fiscal year with net assets of \$707.8 million, a \$23.0 million decrease from the all-time high of the prior year but significantly above any previous year end. Foundation net assets have grown by 8.8 percent, 8.3 percent, and 6.3 percent on an annualized basis over the past three-, five-, and ten-year periods, respectively.



# Financial Statements

## AUDITED STATEMENT OF FINANCIAL POSITION

As of June 30, 2022 and 2021

	<b>2022</b>	<b>2021</b>
<b>Assets</b>	(DOLLARS IN THOUSANDS)	
Cash and cash equivalents	\$28,166	\$10,129
Pledges receivable, net	38,842	35,130
Investments, operating	113,286	112,256
Investments, endowment	532,195	574,615
Funds held in trust by others	10,245	13,044
Endowments held for the University	17,424	19,566
Cash surrender value of life insurance	593	585
Property and equipment, net	3,108	3,923
Other assets	734	534
Total assets	\$744,593	\$769,782
<b>Liabilities and Net Assets</b>		
Liabilities		
Accounts payable and accrued expenses	\$13,042	\$10,073
Trusts and annuities payable	1,969	2,164
Endowments held for the University	17,424	19,566
Lease liability	311	26
Bond and note payable	4,073	7,204
Total liabilities	36,819	39,033
Net Assets		
Without donor restrictions	15,805	22,496
With donor restrictions	691,969	708,253
Total net assets	707,774	730,749
Total liabilities and net assets	\$744,593	\$769,782

## **AUDITED STATEMENT OF ACTIVITIES**

For the Fiscal Years Ended June 30, 2022 and 2021

	<b>2022</b>	<b>2021</b>
	(DOLLARS IN THOUSANDS)	
<b>Revenues, Gains, and Other Support</b>		
Contributions	\$55,771	\$55,740
Net total investment return	(31,859)	139,345
Contractual payments from the University	12,150	12,150
Memberships and other income	822	3,332
Total revenues, gains, and other support	36,884	210,567
 <b>Expenses</b>		
University program support	35,881	33,649
Foundation operations		
Fundraising expenses	16,281	16,009
Management and general expenses	7,697	6,659
Total Foundation operations	23,978	22,668
Total expenses	59,859	56,317
Total increase in net assets	(22,975)	154,250
Net assets, beginning of year	730,749	576,499
Net assets, end of year	\$707,774	\$730,749



# 2022 Board of Directors



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\*Leave of Absence

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Judith M. Zachs '77 MSW

# Thank You, UConn Nation!

Every gift matters. Our donor recognition societies honor your generosity to UConn.



Recognizes donors with lifetime giving of \$100,000+  
**1,052 MEMBERS**



Recognizes donors who give \$1,000+ annually (\$500+ for graduates of the last decade)  
**4,509 MEMBERS**



Recognizes donors who give for five or more consecutive years  
**5,133 MEMBERS**



Recognizes donors who include the UConn Foundation in their wills or other planned gifts  
**541 MEMBERS**

## FEATURED ALUMNUS

**Karriem K. Folger '21 (ENG)**



**UCONN**  
**FOUNDATION**

The University of Connecticut Foundation, Inc.  
2390 Alumni Drive, Unit 3206  
Storrs, Connecticut 06269-3206

[www.foundation.uconn.edu](http://www.foundation.uconn.edu)