

UConn
FOUNDATION

2023 Annual Report





A Fourth Consecutive Record-Setting Year for the UConn Foundation

I am pleased to share the UConn Foundation's 2023 Annual Report with UConn Nation. Our generous donors set another record, contributing \$157.9 million in new gifts and commitments. This was the fourth straight year fundraising reached the highest level in the Foundation's history, and we are proud to have brought another championship to UConn!

The total includes Elisabeth DeLuca's \$40 million gift to the School of Nursing, which is the largest in the history of the University. The impact of this gift cannot be overstated. It will make the School of Nursing a national leader in the future of nursing education.

More than 22,500 other donors contributed to our success. The \$157.9 million total, a 37% increase over the previous year, includes \$55.5 million for need- and merit-based scholarships and fellowships, making a UConn education more accessible to undergraduate, graduate, and professional students. Donors also contributed \$47.7 million to fund academic programs and \$12.5 million to support faculty.

The Division of Athletics continued its winning streak as donations to that area totaled \$27.9 million. Support for UConn Health reached \$15 million in fiscal 2023, providing critical funding to advance patient care, research, and academic programs.

This Annual Report delves into the many other ways that donors, alumni, and friends made their mark on our University this year. We are grateful to all of you for your generosity and the support you continue to give UConn. Go, Huskies!

Sincerely,

Jonathan Greenblatt
Interim President and CEO

ENDOWMENT

STUDENTS ► \$240,641,912

Scholarships and fellowships make a UConn education possible for outstanding students from all backgrounds.

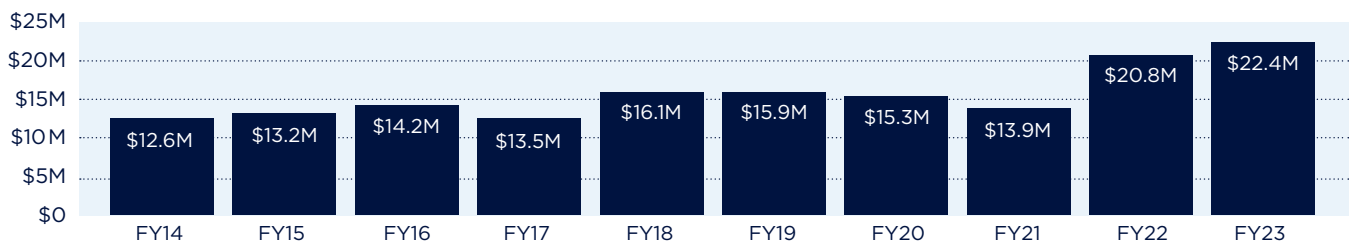
FACULTY ► \$151,162,801

Funds including endowed chairs and professorships provide the resources UConn students, researchers, physicians, and scientists need to achieve innovation and breakthrough discoveries.

PROGRAMS ► \$185,469,889

Endowed accounts support technology, experiential learning, student research opportunities and conferences, and other priority programs.

Ten-Year Total Spending Allocations



SPENDING ALLOCATION AMOUNTS ARE THE DOLLARS DISTRIBUTED TO SUPPORT THE UNIVERSITY.

ENDOWMENT MANAGEMENT

The UConn Foundation is honored to manage endowed funds in support of the University's mission. The fiduciary responsibility is carried out by members of the Foundation Board of Directors' investment committee, foundation management, and staff. The endowment is managed as a single portfolio, but funds are invested in various asset classes to diversify risk. The UConn Foundation distributes 4 percent of the rolling, three-year average of quarterly market values of endowed funds to UConn. This spending policy is intended to provide stable income to the University for its current needs while maintaining the long-term purchasing power of the endowment. Earnings in excess of spending distributions and advancement fees are reinvested to grow the endowment and keep pace with inflation. Conversely, in times of low returns distributions will be suspended if a fund's market value falls below 15 percent of its historic dollar value in order to preserve capital.

FUNDRAISING BY THE NUMBERS

FISCAL YEAR 2023 (JULY 1, 2022 THROUGH JUNE 30, 2023)




22,541
Donors

TYPES OF GIFTS


13,281
Alumni and Students


6,976
Friends


1,813
Parents


471
Corporations and Foundations

\$65.3M

Cash, stock, in-kind donations, and research grants

\$36.2M

Planned gifts pledged in a will, trust, charitable gift annuity, IRA, or other estate plan

\$56.4M

Multiyear gift commitments typically paid over five years

MISSIONS

\$47.75M
Academic Programs

\$55.55M
Scholarships and Fellowships

\$9.93M
Research

\$32.21M
Capital Improvement Projects

\$12.45M
Faculty

OPERATIONS

\$115.3M
Operations

ENDOWMENT

\$42.6M
Endowment—Current

FISCAL YEAR 2023 HIGHLIGHTS

Elisabeth DeLuca, an alum of the School of Nursing, contributed \$40 million to the School of Nursing, which is the largest gift in the history of the University. This transformational gift will make the School of Nursing a national leader in the future of nursing education by increasing the nursing class size and helping to address the state and national nursing shortage, the changing nature of health care, and the increasing disparity in health outcomes.

Former Connecticut State Sen. Antonietta “Toni” Boucher ’02 MBA gifted \$8 million to create the Boucher Management & Entrepreneurship Department in the School of Business. She also created the Henry “Bud” Boucher Faculty Fellowship in memory of her late husband and added to the Toni Boucher Scholarship Fund, which she established in 2004.

Trisha Bailey ’99 (CLAS) committed a lead gift toward construction of the Bailey Student Athlete Success Center, a state-of-the-art facility for athletic performance and academic support. The gift, the largest ever dedicated to athletics, supports an 80,000-square foot renovation and expansion of the former recreation center and areas within the Hugh S. Greer Field House.

David Gannon ’80 MD established a multimillion-dollar bequest to support students at the School of Medicine. He aims to help alleviate the debt that many students carry after graduation.

A \$5 million bequest from James Belmont ’86 (ENG) inspired the creation of UConn’s Nursing and Engineering Innovation Center, which will advance health care, workforce, and economic development through interdisciplinary collaborations. Belmont wanted to demonstrate his appreciation for his UConn education and that of his sister, Gail Belmont-Harwood ’81 (NUR).

TRANSFORMATIONAL INCREASES IN SUPPORT

School of Nursing	College of Liberal Arts and Sciences	School of Medicine
2022: \$1.98M	2022: \$6.34M	2022: \$9.75M
2023: \$42.08M	2023: \$15.23M	2023: \$13.45M
School of Law	Athletics	
2022: \$1.39M	2022: \$23.52M	
2023: \$2.81M	2023: \$27.93M	

EVENTS AND ENGAGEMENT

HUSKY NATION KNOWS NO BOUNDARIES.

This year, Foundation events drew alumni and friends from all over the world. Championship celebrations, professional networking opportunities, educational lectures, community service projects, and social events brought the community together, connecting them with the University and each other.

EVENTS BY THE NUMBERS

526 Events

- ▶ **467 In-Person Events**
- ▶ **59 Virtual Programs**

- ▶ **24,520**
Total Attendees
- ▶ **7,495**
First-Time Registrants

UCONN NATION TUNED IN OR VISITED FROM:

- ▶ **43 States**
- ▶ **Washington, D.C.**
- ▶ **U.S. Virgin Islands**
- ▶ **Armed Forces**



23
International
Locations



ALUMNI ENGAGEMENT

26 REGIONAL ALUMNI NETWORKS



INTERNATIONAL AMBASSADORS BASED IN 25 COUNTRIES

ALUMNI EVENT HIGHLIGHTS

- ▶ UConn Nation converged on Houston for the Huskies' championship run through the NCAA Men's Basketball Final Four. **More than 2,000 fans joined us for a reception before the championship game.**
- ▶ Oozeball remained one of UConn's top traditions, **attracting 2,150 participants.**
- ▶ We welcomed **more than 1,300 graduating seniors** to the UConn alumni family.
- ▶ **UConn President Radenka Maric** introduced herself to the UConn community at receptions in cities around the country including Boston, New York, and Washington, D.C.

ALUMNI GIVING BACK

37	296	109
Volunteer Committees	Alumni Volunteer Board Members	Young Alumni Volunteer Board Members

UConn CARES

During this month-long community service initiative, we had **274 alumni participate in 23 unique volunteer opportunities** across the nation, contributing to a total of **492 service hours**. For the first time this year we offered three unique, short-term volunteer opportunities and had **317 participants volunteer** to engage with current students and new grads.





DIVERSITY, EQUITY, AND INCLUSION

Our alumni and donors continue to prioritize their commitment to diversity, equity, and inclusion (DEI). In fiscal 2023, philanthropic contributions to DEI-related funds more than doubled from the previous year. Events, including This is America, brought groups together to discuss racism, social justice, and human rights issues while spotlighting the people who are fighting for justice and equality.



EVENTS SUPPORTING DEI



**TOTAL
EVENTS**



**TOTAL
ATTENDEES**



GIFTS SUPPORTING DIVERSITY, EQUITY, AND INCLUSION

Philanthropic support creates pathways for students from traditionally underserved backgrounds to attend UConn, helps reduce student debt, and funds faculty positions in areas of study related to diversity, equity, and inclusion.

\$50.5M
FUNDS RAISED



3,497
Donors



5,463
Gifts



11
Gifts of \$1M
or more

NEW FUNDS ENDOWED IN 2023

Donors endowed nearly four dozen new funds in fiscal 2023. Their generosity ensures there is ongoing, vital support for the student scholarships, research, medical breakthroughs, and programs that help UConn achieve academic excellence.

ATHLETICS

The Dandeneau Family Scholarship Fund provides scholarship support to undergraduate student-athletes enrolled in the College of Engineering, with preference given to students majoring in materials science engineering, who are members of one of the following varsity sports teams: men's or women's ice hockey, men's golf, women's tennis, football, men's or women's track, or men's or women's soccer.

The Al and Nancy Kelland Endowed Scholarship provides scholarship support for student-athletes who are members of the varsity baseball team.

COLLEGE OF AGRICULTURE, HEALTH AND NATURAL RESOURCES

The Shirley Gerrior Fellowship Fund provides scholarship support for graduate students who have enrolled in the Department of Nutritional Sciences and have demonstrated academic achievement.

The Kristin and Richard Schwab Fund for Service Learning in the Landscape Architecture Program funds faculty-led University projects or student research that incorporates service learning and community engagement.

COLLEGE OF LIBERAL ARTS AND SCIENCES

The Christine N. Witzel Women's Health Scholarship Fund supports undergraduate students from Connecticut who demonstrate financial need and an interest in issues related to women's health, and who are majoring in a social science.

The Holowinko Blick Family Scholarship provides scholarship support for undergraduate students who demonstrate financial need.

The Dorothy Rossini Allen '48 Scholarship Fund provides scholarship support for undergraduate students majoring in Spanish.

The Anonymous Donor Graduate Student Research Fund in Ecology and Evolutionary Biology provides financial support for graduate students pursuing a thesis-based Master of Science or Doctoral degree in Ecology and Evolutionary Biology.

The Robert M. Ward '74 and Thomas D. Ritter '77 Internship Award provides scholarship support for undergraduate students who have been accepted into the Connecticut General Assembly Internship Program.

The Desmond Family Graduate Fellowship provides scholarship support for graduate students who are pursuing a degree in the field of chemistry and demonstrate financial need.

NEAG SCHOOL OF EDUCATION

The Robert A. & Kathleen W. Johnson Scholarship provides scholarship support for undergraduate or graduate students who demonstrate financial need and are enrolled in the Integrated Bachelor's/Master's Teacher Education Program or the University of Connecticut Administrator Preparation Program.

The Peg Beecher-Hlavacek Scholarship for Gifted Education and Talent Development provides scholarship support for graduate students enrolled in the Neag School of Education's Gifted Education and Talent Development Program who demonstrate financial need and academic achievement.

SCHOOL OF BUSINESS

The Diana Piquette Memorial Scholarship supports undergraduate students majoring in accounting who have demonstrated financial need, academic achievement, and civic or community engagement through mentoring or club participation in University programs such as the Financial Literacy Innovation Program, Volunteer Income Tax Assistance, or Business Connections Learning Community.

The Boucher Department of Management and Entrepreneurship Endowment provides financial support for the development of coursework and experiential learning opportunities to educate students on technology commercialization, private capital investment, and founding and managing entrepreneurial ventures.

The Hal D. Guy Family Scholarship supports undergraduate students who demonstrate financial need and are pursuing a degree in Finance.

SCHOOL OF DENTAL MEDICINE

The Dr. Jonny Feldman Fund for Orthodontic Residency and Junior Faculty Support provides general financial support for the Orthodontic Residency Program and junior faculty in the Division of Orthodontics.

The Ghatrri Family Fund for Orthodontic Resident Research provides financial support for expenses related to research and travel for the Orthodontic Residency Program in the Division of Orthodontics.

COLLEGE OF ENGINEERING

The Richard F. and Josephine Y. Gamble Endowed Scholarship in the School of Engineering provides financial support to full-time undergraduate students in the College of Engineering who have demonstrated financial need.

The Austin L. and Carol A. Alvarez Scholarship '73 provides scholarship support for undergraduate students demonstrating financial need who are majoring in Civil Engineering.

The Professor Peter Luh Fellowship for Graduate Student Support provides scholarship support for graduate students enrolled in the College of Engineering.

The Paul & Mary Inguanti Endowed Scholarship in Engineering provides scholarship support for students enrolled in the College of Engineering who demonstrate financial need.

The Vergnano Endowed Chair for Inclusion Fund provides financial support for a faculty position ("Chair") in the Vergnano Institute for Inclusion within the College of Engineering.

SCHOOL OF FINE ARTS

The A.N. Jorgensen Outreach for Youth Endowment Fund provides financial support for the Jorgensen Outreach for Youth ("JOY!") Program to engage children and their families with demonstrated financial need as well as public school children in the University's performing arts program. The fund also provides financial support for classical and/or chamber music programming at the Jorgensen Center for the Performing Arts.

The Ronald and Pamela Ancrum Endowed Scholarship provides scholarship support for undergraduates majoring in music who have demonstrated financial need.

SCHOOL OF LAW

The Vertefeuille Family Scholarship at UConn School of Law provides financial support for School of Law students from Connecticut who have demonstrated financial need and academic achievement.

The Malave Family Endowed Scholarship provides scholarship support for students demonstrating financial need and academic achievement.

The Robert S. Carey, Jr. Memorial Fund provides scholarship support for students who demonstrate financial need.

SCHOOL OF MEDICINE

The Dr. Bruce Gould Primary Care Scholarship Fund supports UConn medical students pursuing medicine in the field of primary care.

The John D. Shanley, MD MPH Fund provides financial support for the School of Medicine's Infectious Disease and Master of Public Health programs, student research in global medicine, or scholarships. Candidates for the scholarships must be enrolled in either the Doctor of Medicine or Master of Public Health degree programs and demonstrate financial need.

The Paula Schenck Endowed Fund for Occupational and Environmental Health Education in Memory of Eileen Storey MD MPH financially supports visiting-scholar speaking events at the School of Medicine for students, medical residents, and health providers on topics that increase awareness about occupational and environmental contributors to illness.

SCHOOL OF NURSING

The Mark C. Davis and Dr. Diane Holditch-Davis Ph.D. Student Research Fund provides financial support for research conducted by doctoral students in the School of Nursing.

The Dr. Diane Holditch-Davis Ph.D. Student Research Fund provides financial support for research conducted by doctoral students enrolled in the School of Nursing including expenses related to pilot work, publications, and dissertation research not supported by another financial source.

SCHOOL OF SOCIAL WORK

The Susan and Kenneth Walkama Fellowship in Social Work and Healthcare provides scholarship support to students pursuing a Master of Social Work who demonstrate financial need.

The Dr. Nancy A. Humphreys Memorial Fellowship in Policy Practice and Political Social Work provides scholarship support for doctoral candidates who are engaged in research that expands the knowledge base of policy practice and/or political social work practices, and who demonstrate the potential for continued academic achievement.

UNIVERSITY

The Lawrence "Larry" Williams Endowed Scholarship Fund supports undergraduate students from Connecticut who demonstrate financial need.

The Scott Brohinsky Congressional Internship Award provides scholarship support for undergraduate students from Connecticut who demonstrate financial need and have been accepted as participants in the University's Honors Congressional Internship Program or similar program.

The Coric Family Undergraduate Research Fund provides financial support for students in the University's Honors Program who are accepted as participants in a University-approved undergraduate research program including, but not limited to, the Summer Undergraduate Research Fund award program.

SAM's Fund at UConn provides financial support for programs within the University's Center for Students with Disabilities aimed at preparing students for campus life with a focus on academic success, knowledge of campus resources, health and wellness, and social involvement including, but not limited to, support for the Enhanced Services Program, Beyond Access Program, and UConn GPS Program.

The Dr. Virginia Wyly & Rev. Jack Allen Scholarship Fund provides scholarship support for undergraduate students pursuing a degree in a science, technology, engineering, or math-related field who is a current or past participant in the University's SCHOLARS House Learning Community, the Black Women Voices in Higher Education Learning Community, or a similar program.

The Parsons Family Scholarship Fund provides scholarship support for undergraduate students enrolled in courses, programs, or majors related to the Peter J. Werth Institute for Entrepreneurship & Innovation.

The Amy Carson Bond Endowed Scholarship provides scholarship support for incoming freshmen or sophomores enrolled in the University's Air Force ROTC program. Priority consideration will be given to students who demonstrate financial need and academic achievement.

The Virginia M. Maynard Endowed Scholarship Fund provides scholarship support to full-time undergraduate students demonstrating financial need and academic achievement.

The Guerrero Family Scholarship provides scholarship support for undergraduate students enrolled in courses, programs, or majors related to the Peter J. Werth Institute for Entrepreneurship & Innovation. Priority consideration will be given to those enrolled in the Institute's Werth Innovator Leadership Development Program.

The Kevin R. Burns Scholarship provides financial support for student scholarships and pre-enrollment expenses such as expenses related to travel, visa procurement, temporary room and board, supplies, meals, and other incidentals. Candidates must demonstrate financial need and academic achievement.

FINANCIAL STATEMENT OVERVIEW

Prepared by

David L. Carney, CFA

Senior Vice President of Finance & Administration and Chief Financial Officer

A fourth consecutive year of record fundraising results for the Foundation brought total new gifts and commitments for fiscal year 2023 to \$157.9 million, a 37 percent increase from the record set the previous year. This increase was highlighted by a transformational \$40 million gift to the School of Nursing, the largest gift in UConn's history, which is expected to make the School of Nursing a national leader in nursing education. Giving for student support once again represented almost half of all fundraising for the year, with philanthropic support for capital projects, faculty, research, and other University programs also contributing significantly.

The investment climate also proved more favorable than that of the previous year, improving the performance of the Foundation's investments year-over-year. U.S. inflation began moderating, raising the probability of a "soft landing" and thus allowing the Fed to curb its pace of rate increases, and even begin to consider future rate cuts. Global stocks were up by 17 percent for the fiscal year and global bonds even eked out positive total returns despite the largest and fastest increase in yields in the last 20 years.

Against this favorable backdrop of record fundraising and better investment performance, the Foundation recorded an \$84 million increase in total revenues, as reflected in the Statement of Activities. The growth in contributions (up \$42.1 million, including the aforementioned gift to the School of Nursing) and the improvement in investment returns (\$44 million) each contributed substantially to this increase. On the expense side, expenditures for University program support grew by 31 percent, driven primarily by large increases in both student and faculty support. Expenses for Foundation operations were up 24 percent, largely attributable to increased fundraising activities and resources in the first full post-COVID fiscal year.

These factors combined to produce a \$44.2 million increase in net assets during the year, as indicated in the Foundation's Statement of Financial Position. Assets increased by \$46.8 million, primarily due to a commensurate increase in pledges receivable (net of reserves). The School of Nursing gift accounted for most of this increase. Investments, in both endowed and operating funds, declined nominally as disbursements for University support slightly exceeded investment returns and net donor inflows. On the liabilities side, the Foundation repaid all its external debt financing during the year.

At fiscal year-end, the Foundation reported record net assets of \$752 million, a 6.2 percent increase from the previous year. Foundation net assets have grown by 9.3 percent, 7.1 percent, and 6.2 percent on an annualized basis over the past three-, five-, and ten-year periods, respectively.



FINANCIAL STATEMENTS

STATEMENT OF FINANCIAL POSITION

As of June 30, 2023 and 2022

	2023	2022
	(DOLLARS IN THOUSANDS)	
Assets		
Cash and cash equivalents	\$36,065	\$28,166
Pledges receivable, net	85,094	38,842
Investments, operating	107,035	113,286
Investments, endowment	529,232	532,195
Funds held in trust by others	11,096	10,245
Endowments held for the University	18,808	17,424
Cash surrender value of life insurance	470	593
Property and equipment, net	2,773	3,108
Other assets	832	734
Total assets	<u>\$791,405</u>	<u>\$744,593</u>
Liabilities and Net Assets		
Liabilities		
Accounts payable and accrued expenses	\$18,425	\$13,042
Trusts and annuities payable	1,974	1,969
Endowments held for the University	18,808	17,424
Lease liability	246	311
Bond and note payable	0	4,073
Total liabilities	<u>39,453</u>	<u>36,819</u>
Net Assets		
Without donor restrictions	12,339	15,805
With donor restrictions	<u>739,613</u>	<u>691,969</u>
Total net assets	<u>751,952</u>	<u>707,774</u>
Total liabilities and net assets	<u>\$791,405</u>	<u>\$744,593</u>

The Statement of Financial Position was derived from the audited financial statements as of June 30, 2023 and 2022.



STATEMENT OF ACTIVITIES

For the Fiscal Years Ending June 30, 2023 and 2022

	2023	2022
	(DOLLARS IN THOUSANDS)	
Revenues, Gains, and Other Support		
Contributions	\$97,843	\$55,771
Net total investment return	12,119	(31,859)
Contractual payments from the University	10,000	12,150
Memberships and other income	947	822
Total revenues, gains, and other support	<u>120,909</u>	<u>36,884</u>
Expenses		
University program support	46,910	35,881
Foundation operations		
Fundraising expenses	21,720	16,281
Management and general expenses	8,101	7,697
Total Foundation operations	<u>29,821</u>	<u>23,978</u>
Total expenses	<u>76,731</u>	<u>59,859</u>
Total increase in net assets	44,178	(22,975)
Net assets, beginning of year	<u>707,774</u>	<u>730,749</u>
Net assets, end of year	<u>\$751,952</u>	<u>\$707,774</u>

The Statement of Activities was derived from the audited financial statements for the years ended June 30, 2023 and 2022.

2023 BOARD OF DIRECTORS

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THANK YOU, UCONN NATION!

Every gift matters. Our donor recognition societies honor your generosity to UConn.



Recognizes donors with
lifetime giving of \$100,000+
1,093 MEMBERS



Recognizes donors who give
\$1,000+ annually (\$500+ for
graduates of the last decade)
4,593 MEMBERS



Recognizes donors who give for
five or more consecutive years
5,451 MEMBERS



Recognizes donors who include
the UConn Foundation in their
wills or other planned gifts
564 MEMBERS

UConn
FOUNDATION

The University of Connecticut Foundation, Inc.
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