I am pleased to present the 2019 Annual Report. Since joining the UConn Foundation in May—at the tail end of fiscal 2019—I have had the opportunity to meet with many alumni and friends. The enthusiasm with which this community supports UConn is remarkable. Through the generosity of more than 22,000 donors, we raised $71.4 million to support students, faculty, and programs. Every gift contributes to UConn’s national reputation. We can’t thank you enough for your commitment and loyalty to Connecticut’s flagship public research university and academic medical center.

Gifts tell a story. They can capture donors’ passion in a powerful way. In the pages ahead, we put special focus on student support. Donors gave an impressive $21.7 million for need- and merit-based scholarships and fellowships for undergraduate, graduate, and professional students through Transform Lives—our student support initiative. Since 2015, the UConn Foundation has raised $122.8 million for scholarships and fellowships through Transform Lives.

In this report, we shine the spotlight on three remarkable students who have benefited from donors’ strong philanthropic support for scholarships and fellowships. They are at UConn achieving their dreams because of the tremendous generosity of UConn’s alumni and friends.

Thank you for investing in UConn. In closing, I would like to thank you for welcoming me and my family into UConn Nation. We are proud to join the UConn community.

Scott M. Roberts
President and CEO
Total University Endowment

The total university endowment increased from $447.7 million in fiscal 2018 to $462.8 million in fiscal 2019, rising more than 3 percent.

An endowment is the bedrock of an institution’s excellence. The total university endowment provides a permanent foundation of support for UConn’s academic, research, patient care, and public service missions. Money in the endowment are invested for long-term growth, ensuring support in perpetuity. The total university endowment is a fundamental component of UConn’s distinction as a top-25 public research university.

Gifts to the endowment sustain merit- and need-based scholarships that put a UConn education within reach for students regardless of their financial situation.

Endowed gifts create steady streams of support that augment federal and extramural grants for basic and translational research. With that funding, UConn is making discoveries and advancements that will improve human health.

Donations toward endowed chairs and professorships support the pioneering work of UConn’s eminent faculty. This support for faculty underpins the strength of programs across the University: from human rights, entrepreneurship, history and cybersecurity to sustainable energy, teacher education, genomics, cancer biology and more.

<table>
<thead>
<tr>
<th>$462.8 MILLION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total University Endowment</strong></td>
</tr>
<tr>
<td><strong>STUDENTS:</strong> $190.1 MILLION</td>
</tr>
<tr>
<td>Student support provides scholarships, fellowships, and awards that defray the cost of a UConn education and reward meritorious accomplishments.</td>
</tr>
<tr>
<td><strong>FACULTY:</strong> $121.5 MILLION</td>
</tr>
<tr>
<td>Endowed chairs, professorships, and related funds support the work of scholars, researchers, and physician-scientists across the University.</td>
</tr>
<tr>
<td><strong>PROGRAMS:</strong> $151.2 MILLION</td>
</tr>
<tr>
<td>Endowed accounts support critical needs and important opportunities across UConn, such as new technology, undergraduate research, study abroad, and patient care programs.</td>
</tr>
</tbody>
</table>

UCONN FOUNDATION ENDOWMENT: $448 MILLION

UNIVERSITY ENDOWMENT MANAGED BY THE UCONN FOUNDATION: $15 MILLION

<table>
<thead>
<tr>
<th>$421.9 MILLION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Three-Year Growth</strong></td>
</tr>
<tr>
<td><strong>FY2017</strong></td>
</tr>
<tr>
<td><strong>FY2018</strong></td>
</tr>
<tr>
<td><strong>FY2019</strong></td>
</tr>
</tbody>
</table>

#UConnNation
**2019 By the Numbers** Fiscal 2019 (July 1, 2018 through June 30, 2019)

$71.4 Million Raised

### Types of Gifts
- Cash, stock, in-kind donations, and research grants
- Planned gifts pledged in a will, trust, charitable gift annuity, IRA, or other estate plan
- Multiyear gift commitments typically paid over five years

### Missions
- Academic programs
- Scholarships and fellowships
- Research
- Capital improvement projects
- Faculty

### Operations vs. Endowment
- Endowment
- Current operations

### 22,218 Donors
- Alumni
- Friends
- Parents
- Corporations and foundations

### Transformative Increases in Support

<table>
<thead>
<tr>
<th>Division of Athletics</th>
<th>2018: $10.4M</th>
<th>2019: $14.4M</th>
</tr>
</thead>
<tbody>
<tr>
<td>School of Engineering</td>
<td>2018: $4.6M</td>
<td>2019: $10.2M</td>
</tr>
<tr>
<td>College of Liberal Arts and Sciences</td>
<td>2018: $5.5M</td>
<td>2019: $10.2M</td>
</tr>
<tr>
<td>School of Fine Arts</td>
<td>2018: $2.3M</td>
<td>2019: $4.4M</td>
</tr>
<tr>
<td>Neag School of Education</td>
<td>2018: $1.7M</td>
<td>2019: $3.5M</td>
</tr>
</tbody>
</table>
Second Annual Giving Day Exceeds Expectations

When we launched the inaugural giving day in 2018, it ignited unprecedented enthusiasm from UConn Nation. This year, there was a meteoric rise in the number of first-time donors. More than 2,100 supporters gave to UConn for the first time ever. We are deeply grateful to the thousands of alumni, faculty, staff, and friends who gave so generously during 2019 UConn Gives.

Mark your calendar! 2020 UConn Gives will be April 15–16.
Alumni Impact

101 Husky Spirit gatherings for game watches and pre-game receptions for UConn and professional sporting events. 90 Social events. 42 Career networking events. 41 Reunions, awards events, and homecoming activities. 29 Community service opportunities across the country. 29 Educational programs and lectures by expert faculty. 18 National receptions where alumni heard the latest news about UConn from administrators, deans, and coaches.

More than 500 loyal alumni and donors packed the Pratt & Whitney Hangar Museum in Hartford on October 7, 2019 for UConn Forward: The Future is Blue and White. Thomas Katsouleas was welcomed as the University’s sixteenth president. Interactive displays showcased innovations made possible by the ingenuity of faculty and students across the University. The evening celebrated the momentous achievements of the past and the future success and growth yet to come.
Alumni participated in 29 community service events across the country through UConn Cares 2019.
Transform Lives

In 2015, the UConn Foundation launched the ambitious Transform Lives initiative to support existing scholarships and fellowships and create new endowments that will support the next generation of undergraduate, graduate, and professional students.

Alumni and friends have continued to build momentum for our major student support initiative. This year, $21.7 million was raised for scholarships and fellowships. Since 2015, $122.8 million has been raised through Transform Lives.

“Rene would be so happy to know, as I have been, that this scholarship has helped so many people over the years,” said Marilyn Poindexter ’74 (CLAS).

When Poindexter’s husband, J. Rene Frechette ’75 (CLAS), died of cancer at 39 in 1988, his friends looked for a way to support what mattered to him. The J. Rene Frechette Memorial Endowed Scholarship at the School of Law is prioritized for students with financial need who are interested in the federal courts or other demanding fields; veterans of the Armed Services; and persons of color.

“This scholarship, founded by his Hartford friends and colleagues, is a lasting tribute...
to him and the recipients,” said Poindexter, an annual donor to UConn for the last 31 years.

Frechette earned a bachelor’s degree in sociology from UConn in 1975 and a law degree from the University of Iowa. He was an associate at Day, Berry & Howard before joining Cigna, where his work on employee benefits and health insurance litigation contributed to the development of federal law.

In 2018–19, the scholarship was awarded to Mallori Thompson, who joined the U.S. Army Reserves in 2014 as a paralegal to make her more competitive for law school applications. She graduated magna cum laude in 2017 from Spelman College while serving in the U.S. Army ROTC at Georgia State University.

“Receiving this scholarship means to me that someone recognizes the sacrifice that is inherent in military service,” said Thompson. “Serving while in law school is a commitment that would deter most people, but having this scholarship allows me to focus more on being a student. That is everything to me.”

Thompson, now a second lieutenant in the Medical Service Corps of the U.S. Army Reserves, is co-chair of the Diversity Committee of the Student Bar Association, vice president of the Black Law Students Association, and a member of the Connecticut Law Review.

“What’s special about the UConn School of Law is the people,” said Thompson. “In such a competitive environment, you don’t expect to find people who care about your success as much as their own. That’s the standard at UConn.”

After graduation, Thompson intends to join a large law firm that offers opportunities for pro bono work in social justice and civil rights. She hopes to create a career path toward a leadership position in an individual rights organization, such as the NAACP or ACLU, and eventually a judgeship.

“Every year, I look forward to discovering who has been awarded the scholarship and knowing that this, in a small way, helps someone in law school,” said Poindexter. “It has been a great legacy to Rene.”
Casey Whalen, a senior majoring in finance and real estate, has two international trips under his belt thanks to scholarship support.

“I was ecstatic to learn I was selected to receive this scholarship. I felt like my hard work and dedication had been realized,” said Whalen.

Whalen received support through a fund created by Michael Koppel ’78 (BUS)—a member of the UConn Foundation Board of Directors—and his wife, Shari. The Koppels wanted to support distinctive opportunities for members of the Business Connections Learning Community (BCLC).

“Unique experiences, such as overseas travel that serves to develop an understanding of other cultures and business practices, can have a life-changing impact on a student,” Mr. Koppel said. “We are honored and grateful to be able to support the Business Connections Learning Community and hopefully make an impact in the development of our future leaders.”

The living and learning community brings together business students and offers experiential programming and networking opportunities with alumni and faculty across the School of Business.

“What makes UConn special for me is how the University provides avenues for smaller communities and individual growth while having tens of thousands of undergraduate students on campus,” said Whalen. “It’s made it possible for me to build lasting friendships and a strong network of peers and faculty.”

Whalen’s awards enabled him to participate in intensive business immersion trips. He traveled to Vienna, Austria and Budapest, Hungary in 2017 and visited cities across Ireland in 2018.

“The scholarship has helped me engage in the business world in ways I had never expected to,” said Whalen.
Emma Krebs

Since the James L. and Shirley A. Draper Scholarship was established in 2001, it has supported more than 300 students. For student-athlete Emma Krebs, the scholarship was one of the deciding factors in helping her choose UConn.

“I was very grateful to receive the James L. and Shirley A. Draper Scholarship when I was admitted to UConn,” said Krebs, a sophomore on the women’s cross country and track teams. “I work hard. It was special to see how my hard work paid off in helping me earn a scholarship to support my education.”

On a pre-med track, Krebs is studying allied health at the College of Agriculture, Health, and Natural Resources with the goal to become a pediatrician. “I especially love biology and learning about the human body. There is so much to learn as well as so much to still discover,” she said.

Krebs added, “UConn is where I was meant to be. Both my parents and my older brother are UConn alumni as well as some of my cousins.”

Shirley ’41 (CLAS) and James L. Draper, Jr. ’41 (CLAS), who died in 2000 and 2010, respectively, supported their alma mater throughout their adult lives. They met at a student orientation parade on the Storrs campus in the 1930s. Mrs. Draper became a high school teacher and guidance counselor. Mr. Draper served in the U.S. Army for more than 20 years before entering the real estate and insurance industry. Later he co-founded EZ Communications, which owned and operated two dozen radio stations across the country.

In addition to the scholarship, the couple also created an endowed chair in American history and a non-endowed fellowship fund at the College of Liberal Arts and Sciences.
NEW SCHOLARSHIPS ENDOwed IN 2019

Donors established 28 scholarships and fellowships in fiscal 2019 that will provide recognition and a critical margin of support for promising students with financial need.

SCHOOL OF BUSINESS

The Irving Harris Scholarship supports undergraduates interested in the field of insurance.

The Lange Family Entrepreneurship Scholarship supports undergraduates.

The Larry Gramling Accounting Scholarship supports undergraduates.

NEAG SCHOOL OF EDUCATION

The Thomas J. Kehle Ph.D. Memorial Scholarship supports graduate students in the psychology program.

The Irene P. and Emanuel A. Makiaris Endowed Scholarship supports undergraduates in the teacher education and certification programs.

The Professor Emeritus William M. Servedio Scholarship supports students in the sports management program.

SCHOOL OF ENGINEERING

The B.L. and Devi Gupta Family Scholarship supports undergraduates and graduate students in civil engineering.

The Denyer Family School of Engineering Scholarship supports undergraduates.

SCHOOL OF FINE ARTS

The Deborah and Julio Virella Scholarship supports undergraduates majoring in music.

The Maria P. Yatrakis Scholarship in Art History supports undergraduates.

SCHOOL OF LAW

The Chase-Bear-Dyer Family Scholarship supports law students, with preference given to students who identify as female.

The J. Timothy and Laura B. Corcoran Family Scholarship supports undergraduates.

The Anthony and Bonnie Cascio Scholarship supports undergraduates.

The Robert J. Monte Scholarship supports undergraduates majoring in economics who are residents of Connecticut.

SCHOOL OF MEDICINE

The Dave Gannon Fellowship supports graduate students.

SCHOOL OF NURSING

The Arthur J. Engler and Ronald J. Kolanowski Nursing Fellowship supports graduate students in the Ph.D. or Doctor of Nursing Practice program.

The Linda Urman Rashba Memorial Scholarship supports undergraduate or graduate students.

SCHOOL OF PHARMACY

The Mary and Paul Inguanti Scholarship in Pharmacy supports students in the Pharm.D. program.

The Joseph T. and Cecily V. DiPiro Pharmacy Scholarship supports students in the Pharm.D. program.

UNIVERSITY

The George R. Aylward, Jr. Scholarship provides support preferably for students in the School of Business and students from single-parent households.

The Roger Bidwell Scholarship supports undergraduate student-athletes at the Avery Point campus.

The Boyer Family Scholarship for the Environment supports undergraduates in the Honors Program majoring in environmental science or environmental studies.

The Jeffrey D. Fisher Health Behavior Change Research Fellowship supports graduate students.

The John L. Hodson Scholarship supports students involved in the Rainbow Center for the LGBTQIA+ and allied community.

The Joseph R. Marfuggi Memorial Scholarship Fund for Leadership supports undergraduates at the Hartford campus, preferably majoring in urban and community studies.

The Satish Saran Agarwal Scholarship supports undergraduate and graduate students with disabilities that require a wheelchair.
The UConn Foundation’s financial statements have a new look this year. In accordance with Accounting Standards Update (ASU) 2016-14, Not-for-Profit Entities (Topic 958): Presentation of Financial Statements of Not-for-Profit Entities issued by the Financial Accounting Standards Board (FASB) in 2016, the UConn Foundation has implemented the required changes which affect a number of areas, including net asset classes, investment return, and expenses. As you’ll see, the 2018 numbers have been re-stated to conform to the new presentation methodology for comparison purposes.

As the Audited Statement of Financial Position shows, total assets grew by more than $22 million during the year to $597.6 million as of June 30, 2019. Increases in both the long-term ($11.8 million) and short-term ($18 million) investment portfolios were partially offset by a decrease in funds held in trust by others ($12.7 million). Cash and cash equivalents were also up ($9.0 million) at the end of the fiscal year, while pledges receivable decreased by $3.9 million, providing the net increase to total assets of $22 million noted above.

Net assets are an area where we see a significant change in presentation this year with only two categories now: without donor restrictions and with donor restrictions. Net assets without donor restrictions increased by $2.2 million during the year, while those with donor restrictions increased by $13.4 million.

On the Audited Statement of Activities and Changes in Net Assets, total revenues were down $34.7 million from fiscal 2018. However, the decrease was simply a function of: a) the fact that contributions returned to the more normal level of $40 million, down $25 million from last year when the UConn Foundation assumed responsibility for the investment and management of the UConn Law School Foundation’s endowment and other charitable funds; and b) although positive, net total investment return was down $10.6 million from the prior year as the long-term portfolio returned 4.8 percent versus the 7.65 percent it returned during fiscal 2018.

Total expenses increased by $8.5 million during fiscal 2018, with program support provided to the University increasing by a healthy $6.9 million and expenses for UConn Foundation operations increasing by $1.6 million.

The UConn Foundation’s long-term portfolio has grown to $435.4 million as of June 30, 2019 and fiscal 2019 was the ninth time in 10 years that the portfolio experienced positive returns. UConn’s total endowment—the UConn Foundation’s endowment as well as endowed gifts to the University—now stands at $462.8 million.
## AUDITED STATEMENT OF FINANCIAL POSITION

**As of June 30, 2019 and 2018**

<table>
<thead>
<tr>
<th>Assets</th>
<th>2019</th>
<th>2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Dollars in thousands)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash and cash equivalents</td>
<td>$15,898</td>
<td>$6,948</td>
</tr>
<tr>
<td>Pledges receivable, net</td>
<td>19,018</td>
<td>22,917</td>
</tr>
<tr>
<td>Investments, operating</td>
<td>106,362</td>
<td>88,359</td>
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<tr>
<td>Investments, endowment</td>
<td>423,100</td>
<td>411,208</td>
</tr>
<tr>
<td>Funds held in trust by others</td>
<td>11,907</td>
<td>24,573</td>
</tr>
<tr>
<td>Endowments held for the University</td>
<td>15,059</td>
<td>15,099</td>
</tr>
<tr>
<td>Cash surrender value of life insurance</td>
<td>586</td>
<td>601</td>
</tr>
<tr>
<td>Property and equipment, net</td>
<td>4,659</td>
<td>5,104</td>
</tr>
<tr>
<td>Other assets</td>
<td>1,021</td>
<td>640</td>
</tr>
<tr>
<td><strong>Total assets</strong></td>
<td><strong>$597,610</strong></td>
<td><strong>575,449</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Liabilities and Net Assets</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Liabilities</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounts payable and accrued expenses</td>
<td>$16,919</td>
<td>$7,006</td>
</tr>
<tr>
<td>Trusts and annuities payable</td>
<td>2,074</td>
<td>2,304</td>
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<tr>
<td>Endowments held for the University</td>
<td>15,059</td>
<td>15,099</td>
</tr>
<tr>
<td>Lease liability</td>
<td>49</td>
<td>14</td>
</tr>
<tr>
<td>Bond and note payable</td>
<td>13,465</td>
<td>16,596</td>
</tr>
<tr>
<td><strong>Total liabilities</strong></td>
<td><strong>47,566</strong></td>
<td><strong>41,019</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Net Assets</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Without donor restrictions</td>
<td>11,865</td>
<td>9,655</td>
</tr>
<tr>
<td>With donor restrictions</td>
<td>538,179</td>
<td>524,775</td>
</tr>
<tr>
<td><strong>Total net assets</strong></td>
<td><strong>550,044</strong></td>
<td><strong>534,430</strong></td>
</tr>
<tr>
<td><strong>Total liabilities and net assets</strong></td>
<td><strong>$597,610</strong></td>
<td><strong>$575,449</strong></td>
</tr>
</tbody>
</table>
## AUDITED STATEMENT OF ACTIVITIES AND
## CHANGES IN NET ASSETS
## For the Fiscal Years Ended June 30, 2019 and 2018

<table>
<thead>
<tr>
<th></th>
<th>2019</th>
<th>2018</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(Dollars in thousands)</td>
<td></td>
</tr>
<tr>
<td><strong>Revenues, Gains, and Other Support</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions</td>
<td>$40,184</td>
<td>$65,045</td>
</tr>
<tr>
<td>Net total investment return</td>
<td>21,987</td>
<td>32,611</td>
</tr>
<tr>
<td>Contractual payments from the University</td>
<td>11,167</td>
<td>10,480</td>
</tr>
<tr>
<td>Memberships and other income</td>
<td>1,669</td>
<td>1,591</td>
</tr>
<tr>
<td>Total revenues, gains, and other support</td>
<td>$75,007</td>
<td>109,727</td>
</tr>
<tr>
<td><strong>Expenses</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>University program support</td>
<td>35,344</td>
<td>28,396</td>
</tr>
<tr>
<td>Foundation operations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fundraising expenses</td>
<td>17,393</td>
<td>14,932</td>
</tr>
<tr>
<td>Management and general expenses</td>
<td>6,656</td>
<td>7,544</td>
</tr>
<tr>
<td>Total Foundation operations</td>
<td>24,049</td>
<td>22,476</td>
</tr>
<tr>
<td>Total expenses</td>
<td>59,393</td>
<td>50,872</td>
</tr>
<tr>
<td>Total increase in net assets</td>
<td>15,614</td>
<td>58,855</td>
</tr>
<tr>
<td>Net assets, beginning of year</td>
<td>534,430</td>
<td>475,575</td>
</tr>
<tr>
<td>Net assets, end of year</td>
<td>$550,044</td>
<td>$534,430</td>
</tr>
</tbody>
</table>
2019 Board of Directors

Ray Allen
Craig W. Ashmore ’85 (ENG)
George R. Aylward ’88 (BUS)
Mark Beaudoin ’82 (BUS)
Alan Bennett ’69 (CLAS)
Melinda T. Brown ’77 (BUS), ’85 MBA
Patrick M. Campion ’83 (CLAS)
Noha H. Carrington ’85 (BUS)
William Clemens III ’89 (CLAS), ’98 MBA
Leah Darak, MD ’95 (MED residency)
Angelo DeFazio ’85 (PHAR)
Frances Del Boca ’71 (CLAS)
Amy Errett ’79 (CLAS)
Drew Figdor ’83 (BUS)
David H. Ford ’88 (CLAS)
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Robert J. Skinner ’93 (CLAS)
Daniel D. Toscano ’87 (BUS)
Nadine Francis West ’89 JD
Harriet Munrett Wolfe, Esq. ’76 (CLAS)

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Michael Koppel ’78 (BUS), Treasurer
Craig W. Ashmore ’85 (ENG), Secretary
John Fodor ’85 (CLAS), Interim President and CEO, UConn Foundation
Gerald J. Ganz, Jr., Senior VP of Finance & Administration and CFO, UConn Foundation

EX-OFFICIO
Susan Herbst, President, UConn
Jeremy Teitelbaum, Interim Provost, UConn
Andrew Agwunobi, MD, CEO of UConn Health and EVP for Health Affairs
Scott Jordan, CFO and EVP for Administration, UConn
David Benedict, Athletic Director
Marilda L. Gándara ’78 JD, University Board of Trustees
Tony Patelunas, Student Representative
Michael Willig, Faculty Representative
Broadway performer Hugh Panaro and the UConn Chamber Singers perform at the Carole and Ray Neag Medal of Honor Ceremony, celebrating support for the health sciences programs across the University.

The Founders Society and Charles Lewis Beach Society annual brunch celebrates the generosity and loyalty of donors.

Alumni and donors joined Michael Willig (center), professor and executive director of the Institute of the Environment and Center for Environmental Sciences and Engineering, and other faculty at an event celebrating efforts to preserve Long Island Sound.

ScHOLA2RS House members celebrate at our Black History Month event.

Retired men’s basketball coach Jim Calhoun, center, joins supporters at the Mohegan Sun/Jim Calhoun Celebrity Classic, which raises funds for the Pat and Jim Calhoun Cardiology Center at UConn Health.

Sharon Gordon DDS, MPH, Ph.D., dean of the School of Dental Medicine, joins Dr. Renate Birmingham and Ronald Birmingham ’05 (CLAS), ’10 DMD to celebrate the couple’s naming of a room in the UConn Health Dental Clinics.